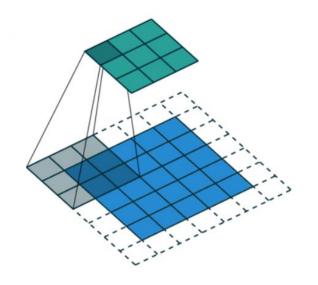
# Image Classification with CNN



#### Outline

- What is CNN
- Why CNNs Matter
- How CNNs Work
- Classical CNNs

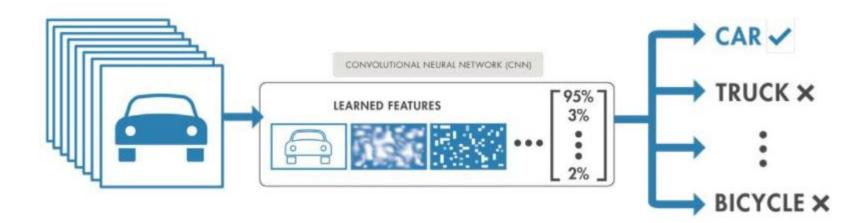
#### CNN

- A convolutional neural network (CNN or ConvNet), is a network architecture for deep learning which learns directly from data, eliminating the need for manual feature extraction.
- CNNs are particularly useful for finding patterns in images to recognize objects, faces, and scenes. They can also be quite effective for classifying non-image data such as audio, time series, and signal data.
- Applications that call for object recognition and computer vision such as self-driving vehicles and face-recognition applications — rely heavily on CNNs.

#### Why CNNs Matter

Using CNNs for deep learning is popular due to three important factors:

- CNNs eliminate the need for manual feature extraction—the features are learned directly by the CNN.
- CNNs produce highly accurate recognition results.
- CNNs can be retrained for new recognition tasks, enabling you to build on pre-existing networks.



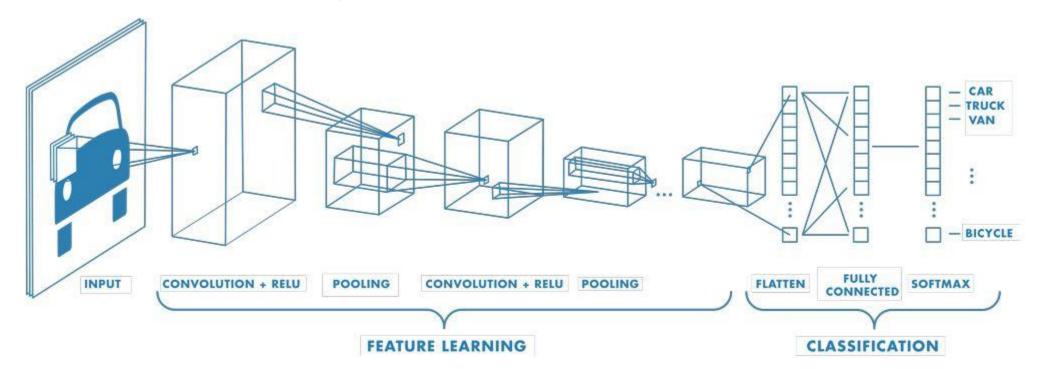
#### How CNNs Work

A convolutional neural network can have tens or hundreds of layers that each learn to detect different features of an image. Three of the most common layers are: convolution, activation or ReLU, and pooling.

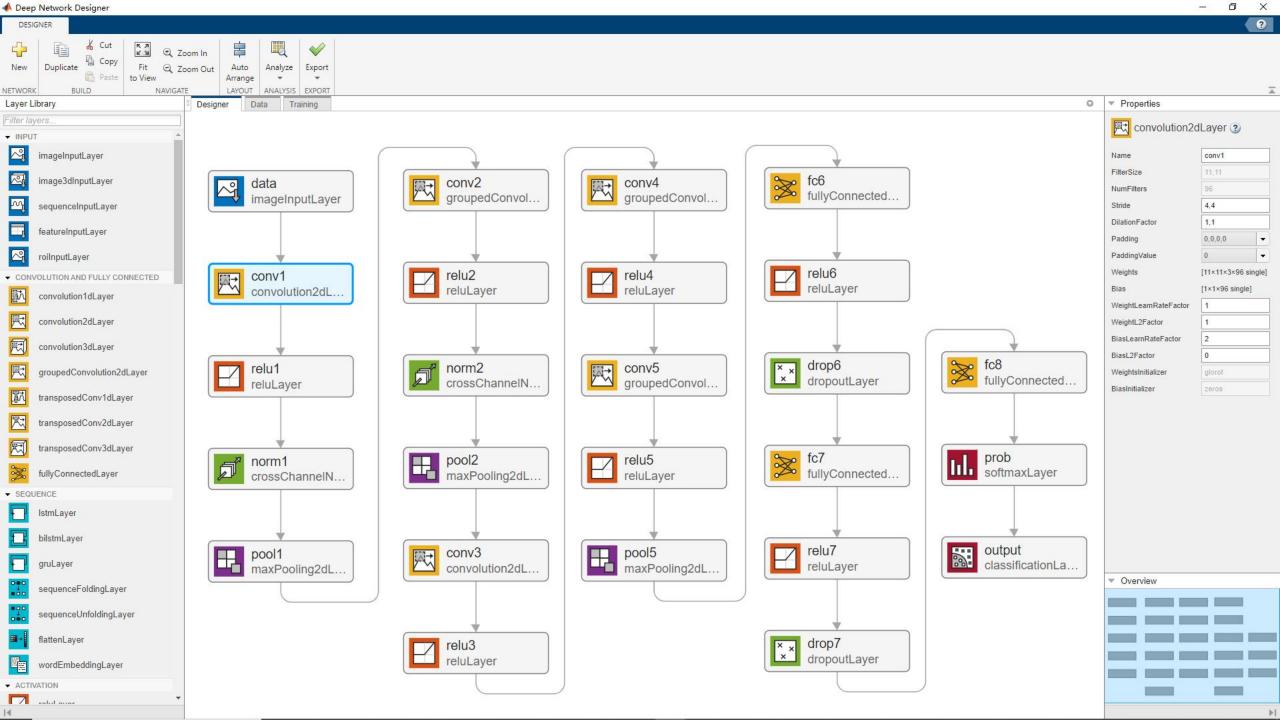
- Convolution puts the input images through a set of convolutional filters, each of which activates certain features from the images.
- Rectified linear unit (ReLU) allows for faster and more effective training by mapping negative values to zero and maintaining positive values.
   This is sometimes referred to as activation, because only the activated features are carried forward into the next layer.
- **Pooling** simplifies the output by performing nonlinear downsampling, reducing the number of parameters that the network needs to learn.

#### How CNNs Work

These operations are repeated over tens or hundreds of layers, with each layer learning to identify different features. Filters are applied to each training image at different resolutions, and the output of each convolved image is used as the input to the next layer.



- 0 X ♠ Deep Network Designer Start Page  $MATLAB^{\circ}$  Deep Network Designer Getting Started | Compare Pretrained Networks | Transfer Learning ✓ General -From Workspace Blank Network ✓ Image Networks (Pretrained) 100000 HOPOTO 错错 智望 1 1 1 ResNet-50 NasNet-Mobile Places365-Goog... A SqueezeNet GoogLeNet EfficientNet-b0 DarkNet-53 DarkNet-19 ShuffleNet NasNet-Large Xception Show more ✓ Sequence Networks Sequence-to-Label Sequence-to-Sequ... ✓ Audio Networks (Pretrained) T T T T T

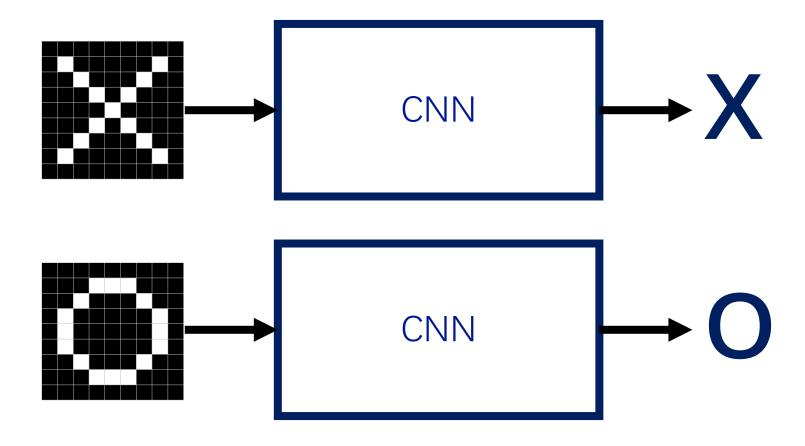


#### A toy ConvNet: X's and O's

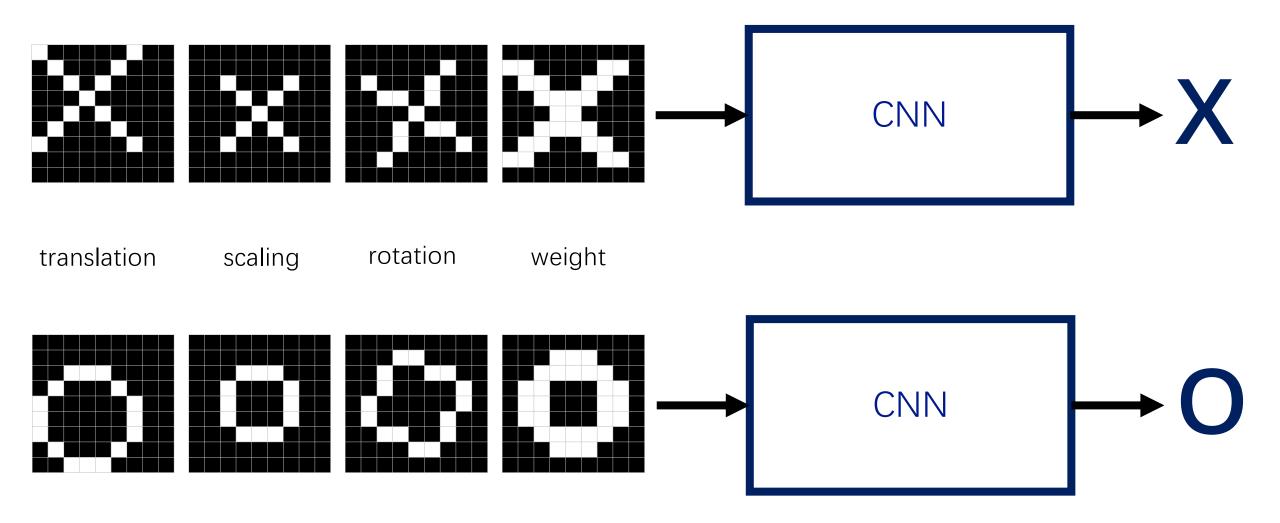
Says whether a picture is of an X or an O



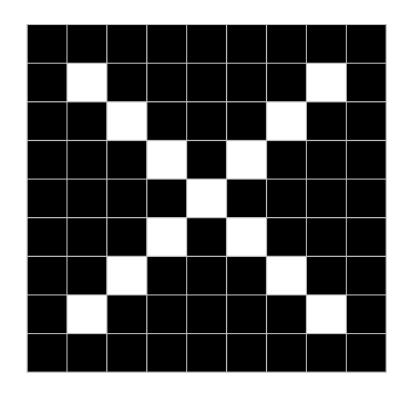
## For example



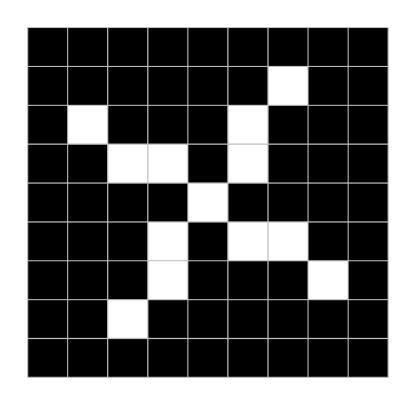
#### Trickier cases



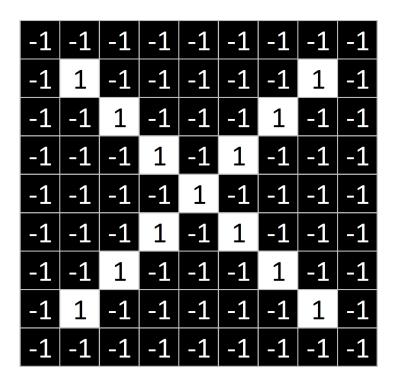
# Deciding is hard

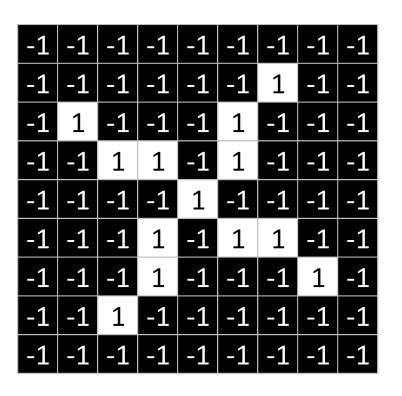






What computers see

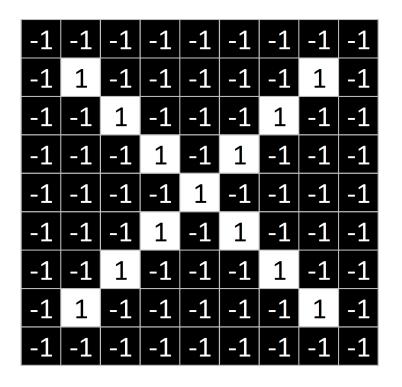




# What computers see

-1	-1	-1	-1	-1	-1	-1	-1	-1
-1	Χ	-1	-1	-1	-1	Χ	Χ	-1
-1	Χ	Χ	-1	-1	Х	Χ	-1	-1
-1	-1	Χ	1	-1	1	-1	-1	-1
-1	-1	-1	-1	1	-1	-1	-1	-1
				-1				
-1	-1	Χ	Χ	-1	-1	Χ	X	-1
-1	X	X	-1	-1	-1	-1	X	-1
-1	-1	-1	-1	-1	-1	-1	-1	-1

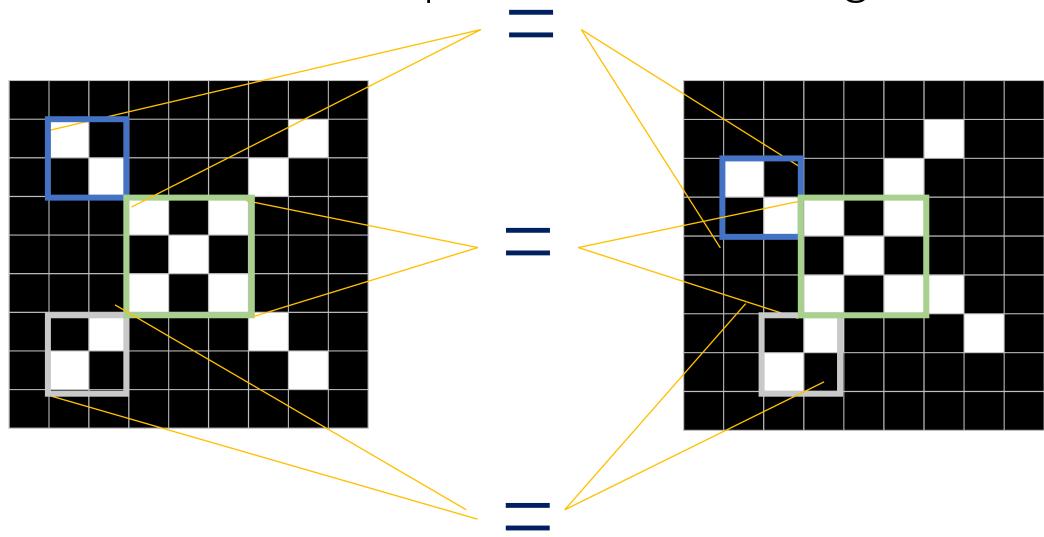
#### Computers are literal



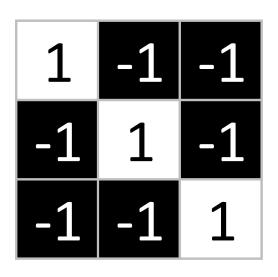


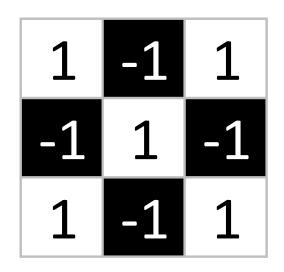
-1	-1	-1	-1	-1	-1	-1	-1	-1
-1	-1	-1	-1	-1	-1	1	-1	-1
-1	1	-1	-1	-1	1	-1	-1	-1
-1	-1	1	1	-1	1	-1	-1	-1
-1	-1	-1	-1	1	-1	-1	-1	-1
		-1						
-1	-1	-1	1	-1	-1	-1	1	-1
-1	-1	1	-1	-1	-1	-1	-1	-1
-1	-1	-1	-1	-1	-1	-1	-1	-1

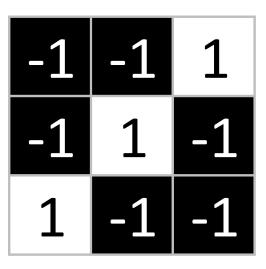
ConvNets match pieces of the image

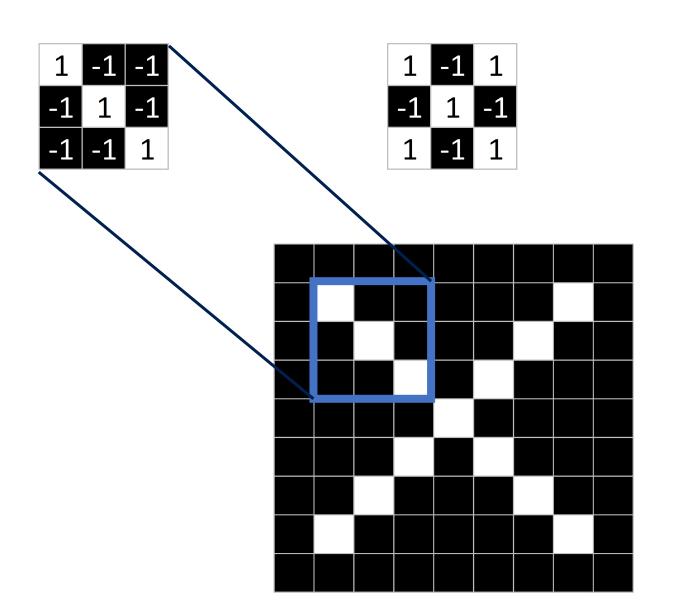


#### Features match pieces of the image

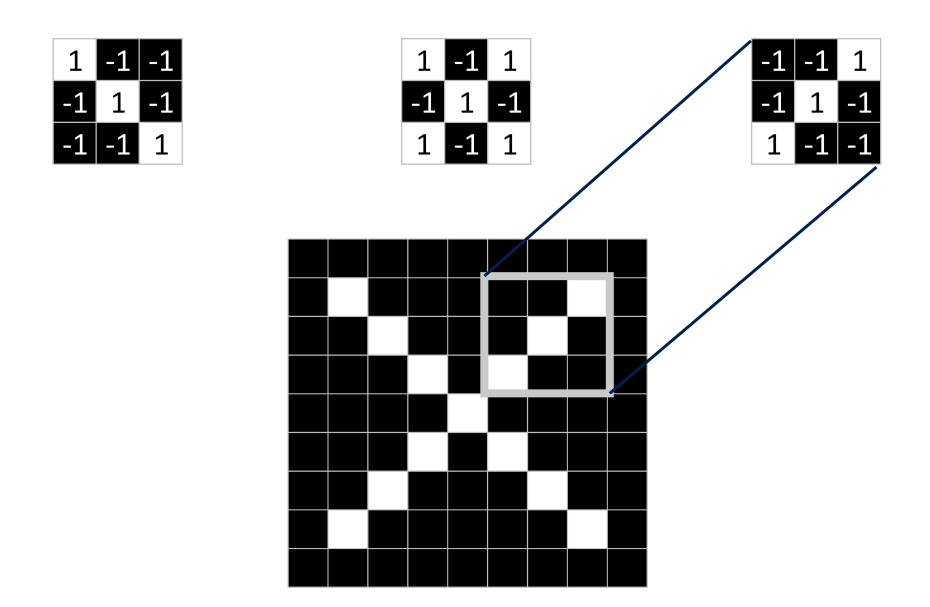


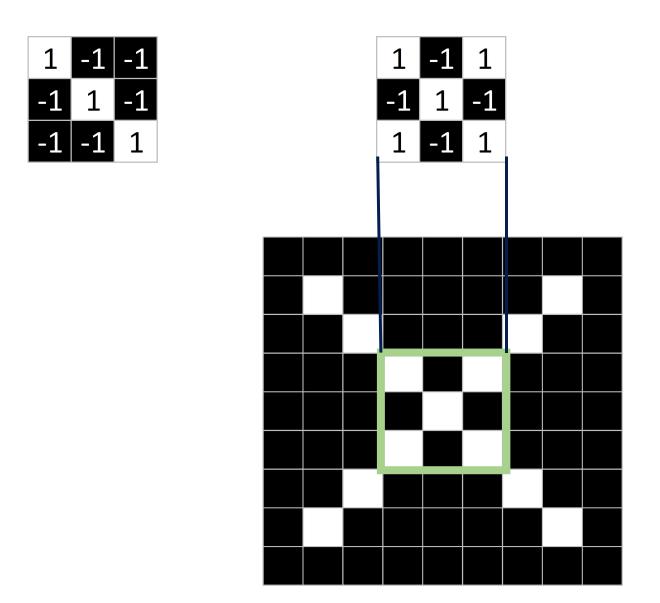




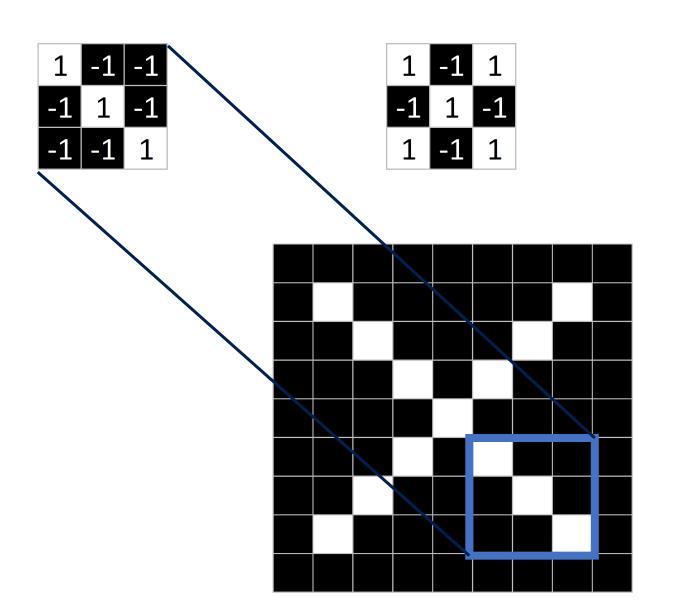


-1-11-11-11-1-1

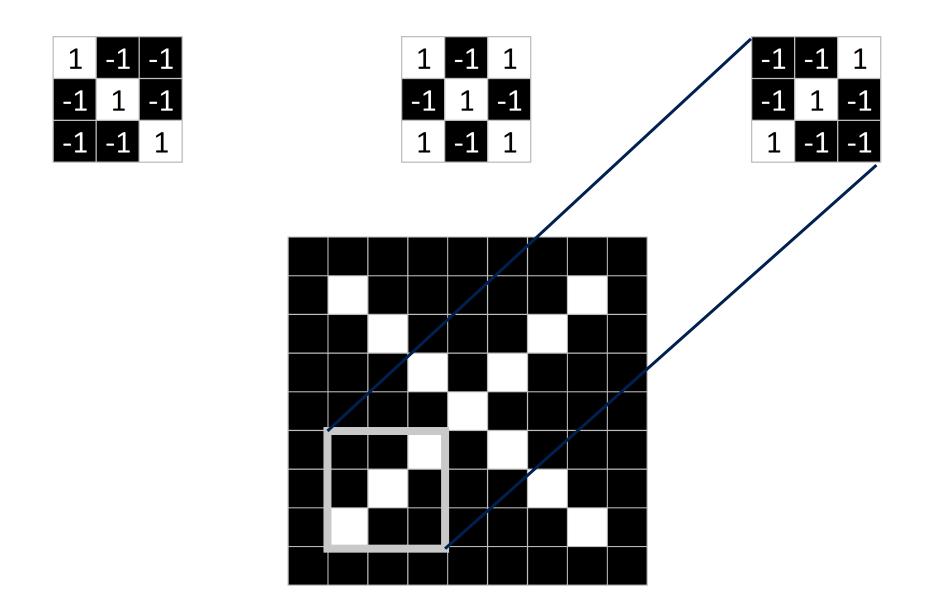


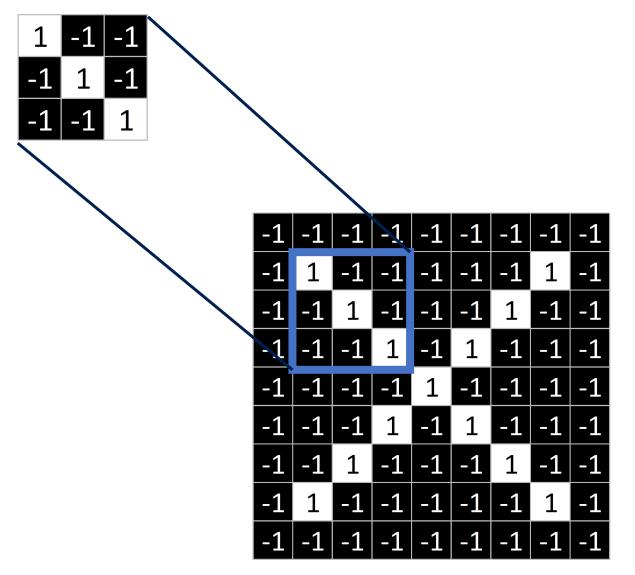


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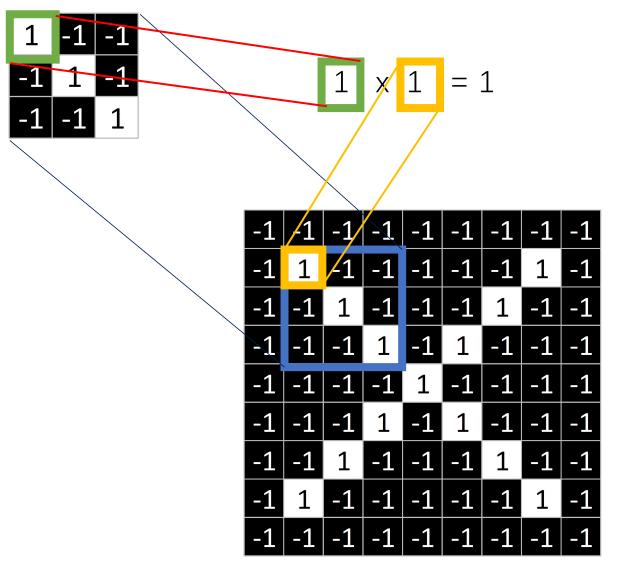


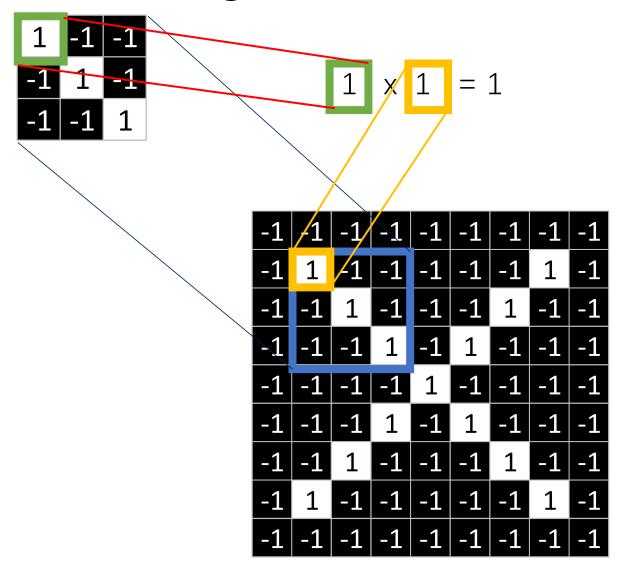
-1-11-11-11-1-1

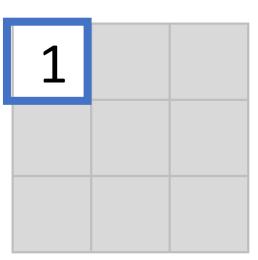


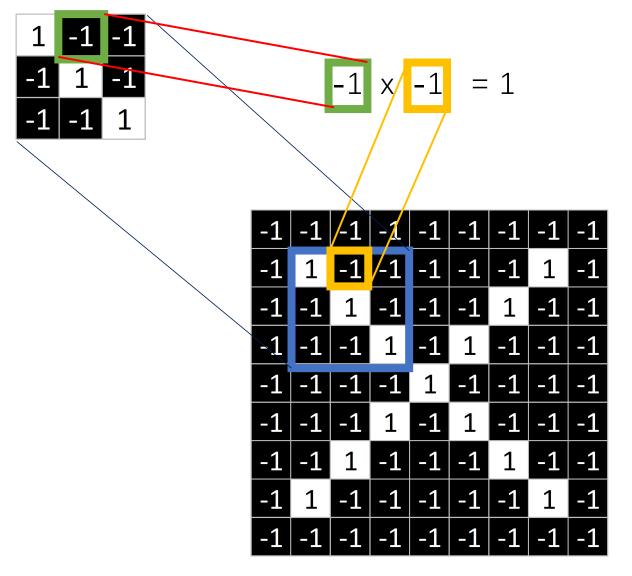


- 1. Line up the feature and the image patch.
- 2. Multiply each image pixel by the corresponding feature pixel.
- 3. Add them up.
- 4. Divide by the total number of pixels in the feature.

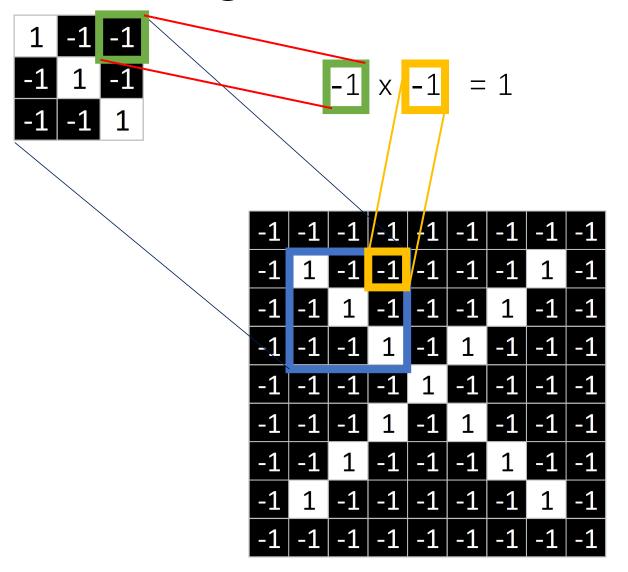




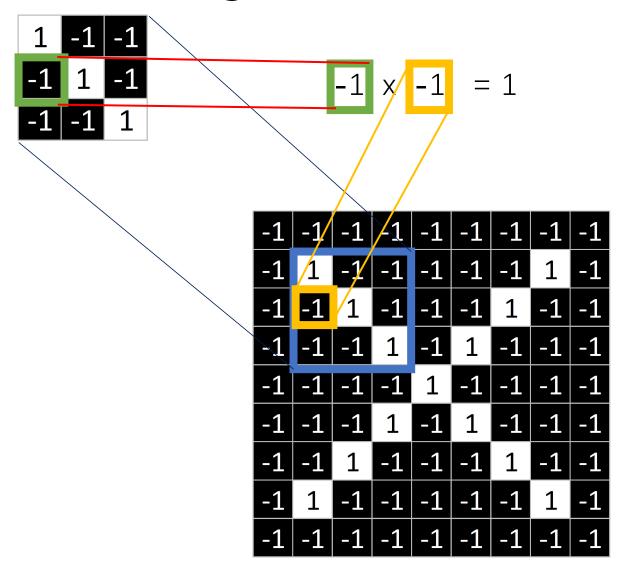




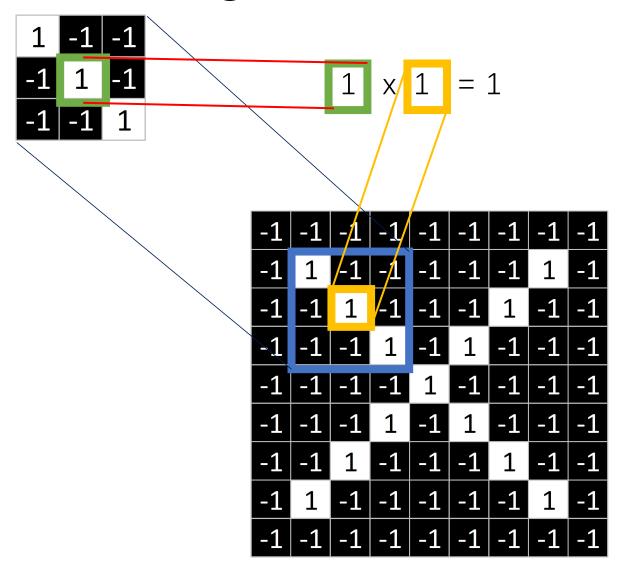
1	1	

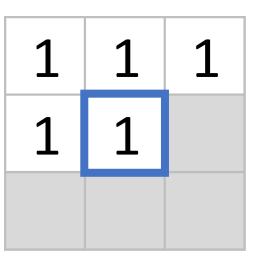


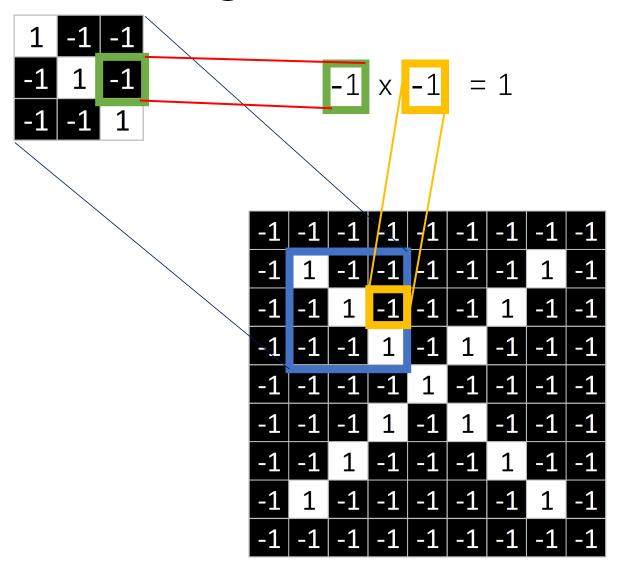
1	1	1



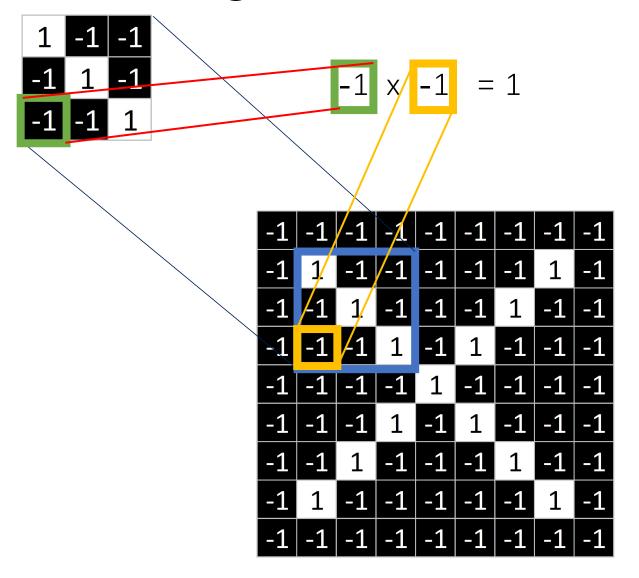
1	1	1
1		



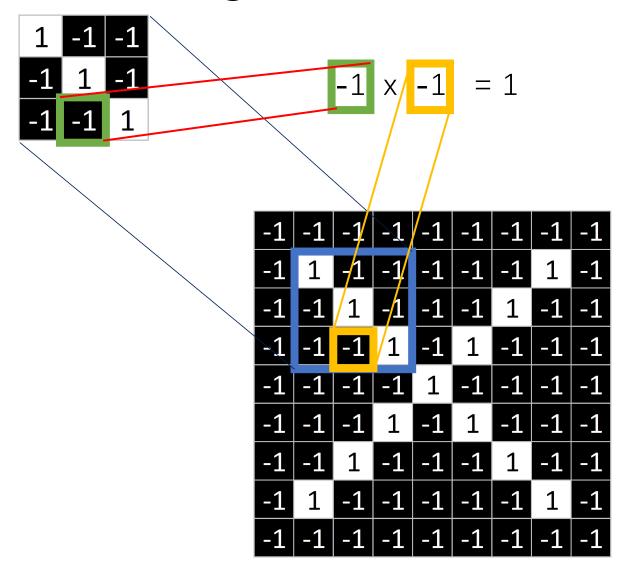




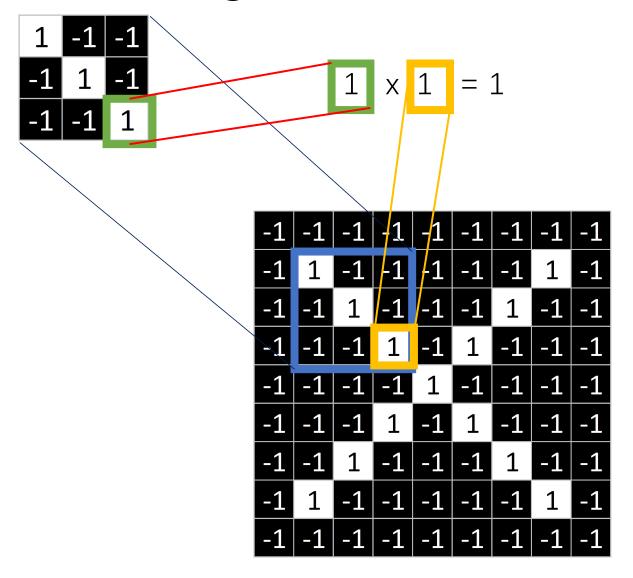
1	1	1
1	1	1



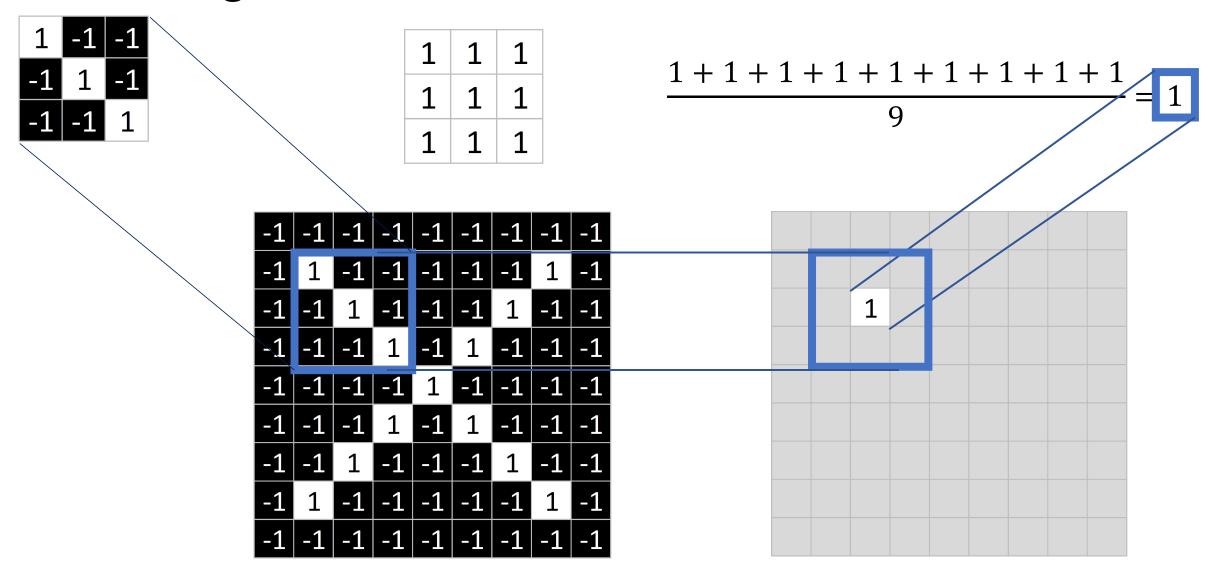
1	1	1
1	1	1
1		

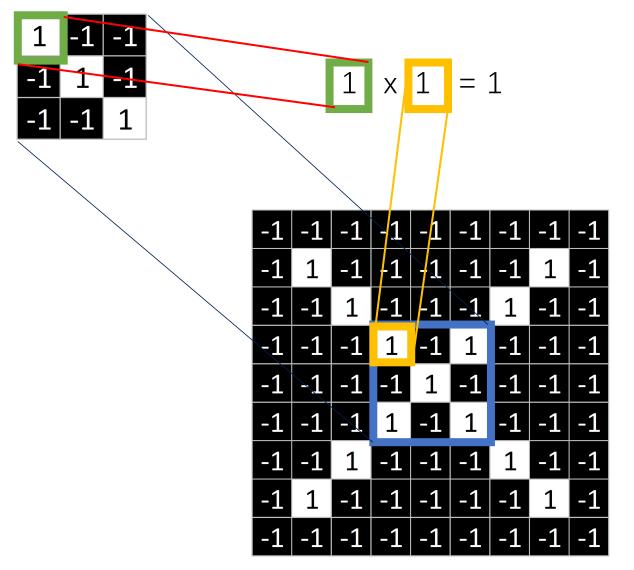


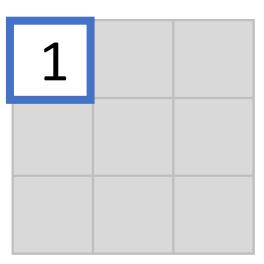
1	1	1
1	1	1
1	1	



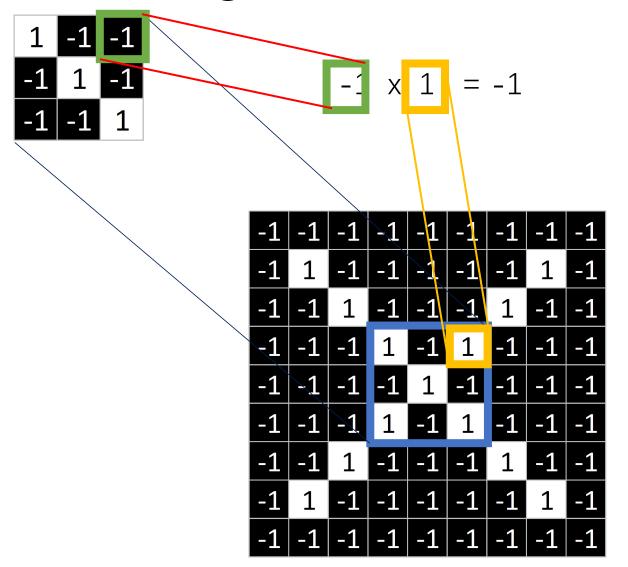
1	1	1
1	1	1
1	1	1





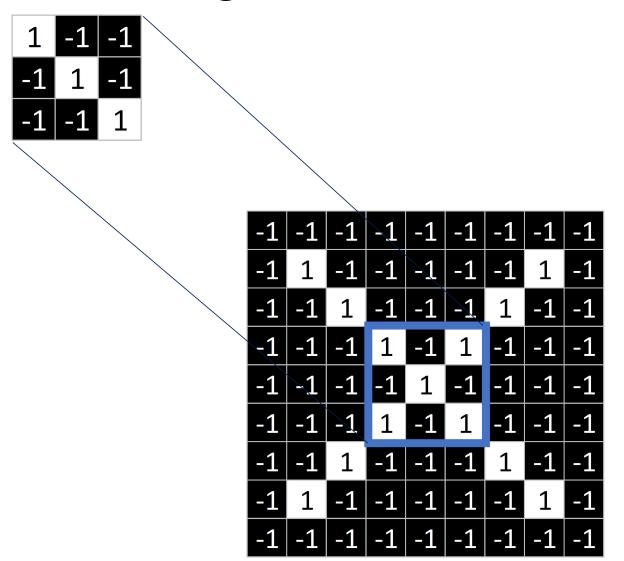


### Filtering: The math behind the match



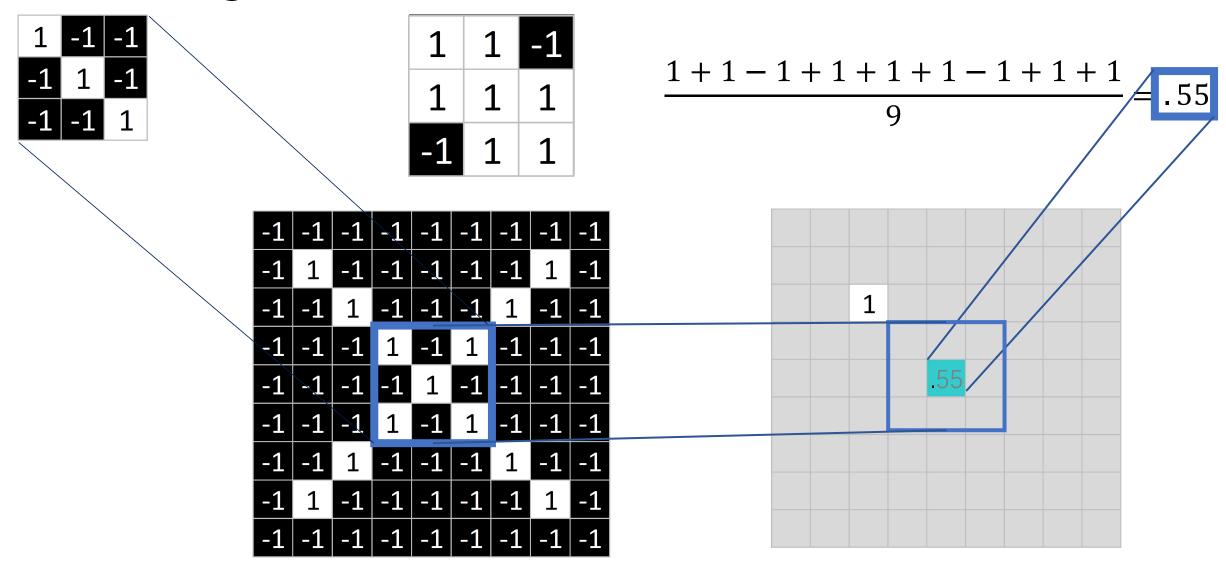
1	1	-1

### Filtering: The math behind the match



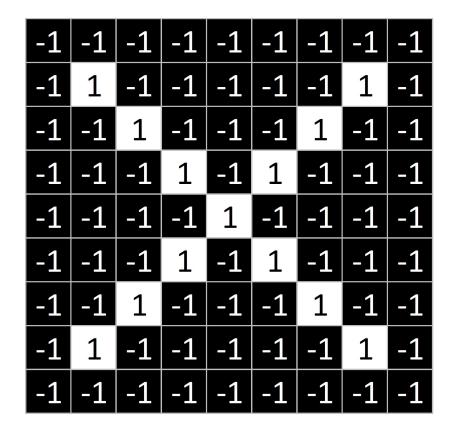
1	1	-1
1	1	1
-1	1	1

### Filtering: The math behind the match



### Convolution: Trying every possible match

1 -1 -1 -1 1 -1 -1 -1 1



0.77	-0.11	0.11	0.33	0.55	-0.11	0.33
-0.11	1.00	-0.11	0.33	-0.11	0.11	-0.11
0.11	-0.11	1.00	-0.33	0.11	-0.11	0.55
0.33	0.33	-0.33	0.55	-0.33	0.33	0.33
0.55	-0.11	0.11	-0.33	1.00	-0.11	0.11
-0.11	0.11	-0.11	0.33	-0.11	1.00	-0.11
0.33	-0.11	0.55	0.33	0.11	-0.11	0.77

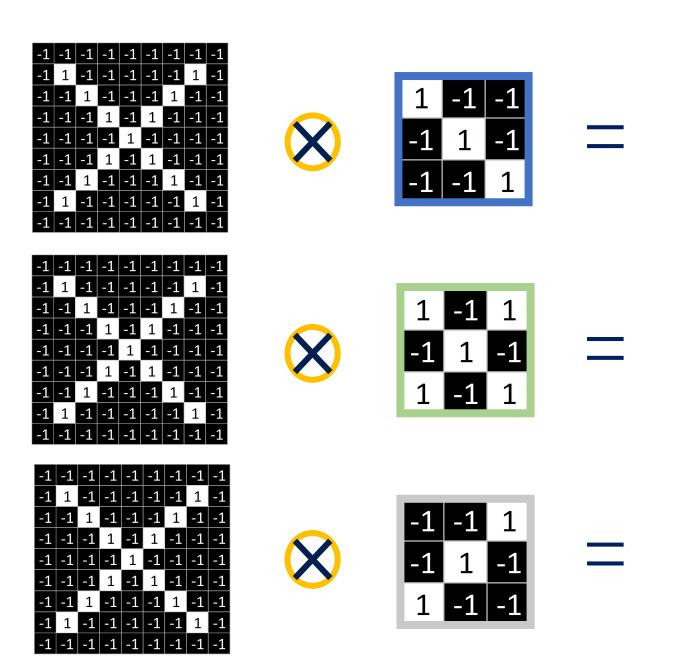
### Convolution: Trying every possible match

-1	-1	-1	-1	-1	-1	-1	-1	-1
-1	1	-1	-1	-1	-1	-1	1	-1
-1	-1	1	-1	-1	-1	1	-1	-1
-1	-1	-1	1	-1	1	-1	-1	-1
-1	-1	-1	-1	1	-1	-1	-1	-1
-1	-1	-1	1	-1	1	-1	-1	-1
-1	-1	1	-1	-1	-1	1	-1	-1
-1	1	-1	-1	-1	-1	-1	1	-1
-1	-1	-1	-1	-1	-1	-1	-1	-1



1	-1	-1	
-1	1	-1	
-1	-1	1	

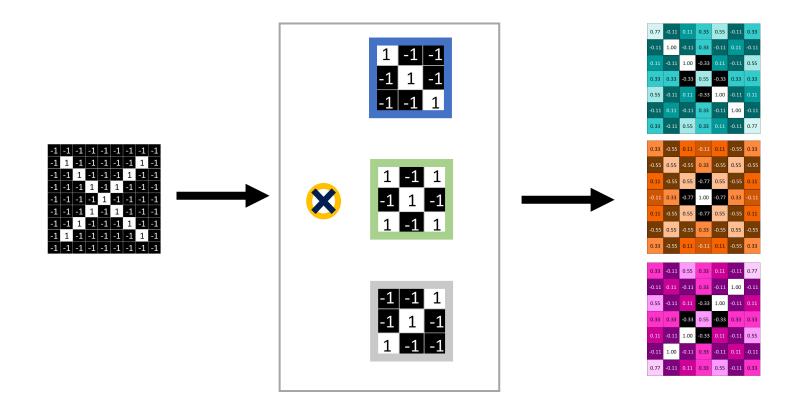
0.77	-0.11	0.11	0.33	0.55	-0.11	0.33
-0.11	1.00	-0.11	0.33	-0.11	0.11	-0.11
0.11	-0.11	1.00	-0.33	0.11	-0.11	0.55
0.33	0.33	-0.33	0.55	-0.33	0.33	0.33
0.55	-0.11	0.11	-0.33	1.00	-0.11	0.11
-0.11	0.11	-0.11	0.33	-0.11	1.00	-0.11
0.33	-0.11	0.55	0.33	0.11	-0.11	0.77



0.77 -0.11 0.11 0.33 0.55 -0.11 0.33 -0.11 1.00 -0.11 0.33 -0.11 0.11 -0.11 0.11 -0.11 1.00 -0.33 0.11 -0.11 0.55 0.33 0.33 -0.33 0.55 -0.33 0.33 0.33 0.55 -0.11 0.11 -0.33 1.00 -0.11 0.11 -0.11 0.11 -0.11 0.33 -0.11 1.00 -0.11 0.33 -0.11 0.55 0.33 0.11 -0.11 0.77 0.33 -0.55 0.11 -0.11 0.11 -0.55 0.33 
 0.11
 -0.55
 0.55
 -0.77
 0.55
 -0.55
 0.11
 -0.11 0.33 -0.77 1.00 -0.77 0.33 -0.11 0.11 -0.55 0.55 -0.77 0.55 -0.55 0.11 0.33 -0.55 0.11 -0.11 0.11 -0.55 0.33 0.33 -0.11 0.55 0.33 0.11 -0.11 0.77 -0.11 0.11 -0.11 0.33 -0.11 1.00 -0.11 0.55 -0.11 0.11 -0.33 1.00 -0.11 0.11 0.33 | 0.33 | -0.33 | 0.55 | -0.33 | 0.33 | 0.33 0.11 -0.11 1.00 -0.33 0.11 -0.11 0.55 -0.11 1.00 -0.11 0.33 -0.11 0.11 -0.11 0.77 -0.11 0.11 0.33 0.55 -0.11 0.33

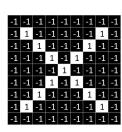
### Convolution layer

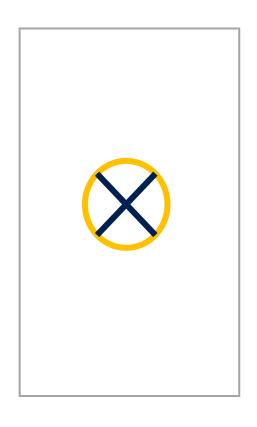
One image becomes a stack of filtered images



### Convolution layer

One image becomes a stack of filtered images





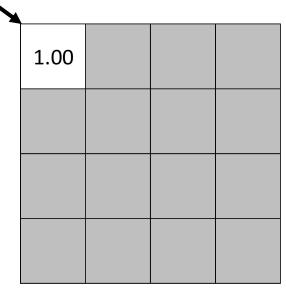


## Pooling: Shrinking the image stack

- 1. Pick a window size (usually 2 or 3).
- 2. Pick a stride (usually 2).
- 3. Walk your window across your filtered images.
- 4. From each window, take the maximum value.

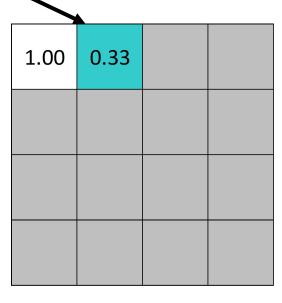
0.77	-0.11	0.11	0.33	0.55	-0.11	0.33
-0.11	1.00	-0.11	0.33	-0.11	0.11	-0.11
0.11	-0.11	1.00	-0.33	0.11	-0.11	0.55
0.33	0.33	-0.33	0.55	-0.33	0.33	0.33
0.55	-0.11	0.11	-0.33	1.00	-0.11	0.11
-0.11	0.11	-0.11	0.33	-0.11	1.00	-0.11
0.33	-0.11	0.55	0.33	0.11	-0.11	0.77





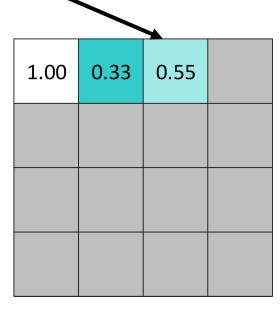
0.33 0.55 3.11 0.77 -0.11 0.11 0.33 1.00 -0.11 -0.11 0.33 -0.11 0.11 -0.11 -0.11 1.00 -0.33 0.11 0.11 -0.11 0.55 0.33 -0.33 0.55 0.33 -0.33 0.33 0.33 0.55 -0.11 0.11 -0.33 1.00 -0.11 0.11 -0.11 0.11 -0.11 0.33 -0.11 1.00 -0.11 -0.11 0.55 0.33 0.11 -0.11 0.33 0.77

maximum



0.77	-0.11	0.11	0.33	0.55	-0.11	0.33
-0.11	1.00	-0.11	0.33	-0.11	0.11	-0.11
0.11	-0.11	1.00	-0.33	0.11	-0.11	0.55
0.33	0.33	-0.33	0.55	-0.33	0.33	0.33
0.55	-0.11	0.11	-0.33	1.00	-0.11	0.11
-0.11	0.11	-0.11	0.33	-0.11	1.00	-0.11
0.33	-0.11	0.55	0.33	0.11	-0.11	0.77

maximum

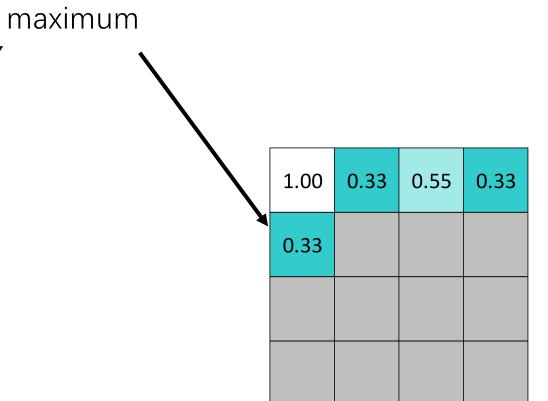


maximum

							4
0.77	-0.11	0.11	0.33	0.55	-0.11	0.33	
-0.11	1.00	-0.11	0.33	-0.11	0.11	-0.11	
0.11	-0.11	1.00	-0.33	0.11	-0.11	0.55	
0.33	0.33	-0.33	0.55	-0.33	0.33	0.33	
0.55	-0.11	0.11	-0.33	1.00	-0.11	0.11	
-0.11	0.11	-0.11	0.33	-0.11	1.00	-0.11	
0.33	-0.11	0.55	0.33	0.11	-0.11	0.77	

1.00	0.33	0.55	0.33

0.77	-0.11	0.11	0.33	0.55	-0.11	0.33
-0.11	1.00	-0.11	0.33	-0.11	0.11	-0.11
0.11	-0.11	1.00	-0.33	0.11	-0.11	0.55
0.33	0.33	-0.33	0.55	-0.33	0.33	0.33
0.55	-0.11	0.11	-0.33	1.00	-0.11	0.11
-0.11	0.11	-0.11	0.33	-0.11	1.00	-0.11
0.33	-0.11	0.55	0.33	0.11	-0.11	0.77



0.77	-0.11	0.11	0.33	0.55	-0.11	0.33
-0.11	1.00	-0.11	0.33	-0.11	0.11	-0.11
0.11	-0.11	1.00	-0.33	0.11	-0.11	0.55
0.33	0.33	-0.33	0.55	-0.33	0.33	0.33
0.55	-0.11	0.11	-0.33	1.00	-0.11	0.11
-0.11	0.11	-0.11	0.33	-0.11	1.00	-0.11
0.33	-0.11	0.55	0.33	0.11	-0.11	0.77

max pooling

1.00	0.33	0.55	0.33
0.33	1.00	0.33	0.55
0.55	0.33	1.00	0.11
0.33	0.55	0.11	0.77

0.77	-0.11	0.11	0.33	0.55	-0.11	0.33
-0.11	1.00	-0.11	0.33	-0.11	0.11	-0.11
0.11	-0.11	1.00	-0.33	0.11	-0.11	0.55
0.33	0.33	-0.33	0.55	-0.33	0.33	0.33
0.55	-0.11	0.11	-0.33	1.00	-0.11	0.11
-0.11	0.11	-0.11	0.33	-0.11	1.00	-0.11
0.33	-0.11	0.55	0.33	0.11	-0.11	0.77
0.33	-0.55	0.11	-0.11	0.11	-0.55	0.33
-0.55	0.55	-0.55	0.33	-0.55	0.55	-0.55
0.11	-0.55	0.55	-0.77	0.55	-0.55	0.11
-0.11	0.33	-0.77	1.00	-0.77	0.33	-0.11
0.11	-0.55	0.55	-0.77	0.55	-0.55	0.11
-0.55	0.55	-0.55	0.33	-0.55	0.55	-0.55
0.33	-0.55	0.11	-0.11	0.11	-0.55	0.33
0.33	-0.11	0.55	0.33	0.11	-0.11	0.77
-0.11	0.11	-0.11	0.33	-0.11	1.00	-0.11
0.55	-0.11	0.11	-0.33	1.00	-0.11	0.11
0.33	0.33	-0.33	0.55	-0.33	0.33	0.33
0.11	-0.11	1.00	-0.33	0.11	-0.11	0.55
-0.11	1.00	-0.11	0.33	-0.11	0.11	-0.11
0.77	-0.11	0.11	0.33	0.55	-0.11	0.33

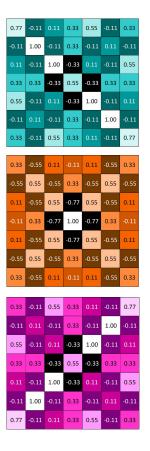
1.00	0.33	0.55	0.33
0.33	1.00	0.33	0.55
0.55	0.33	1.00	0.11
0.33	0.55	0.11	0.77

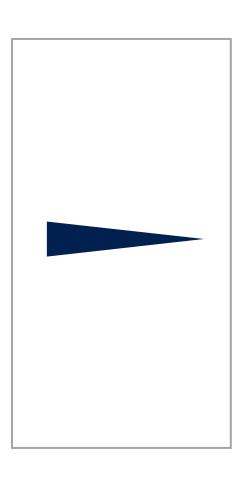
0.55	0.33	0.55	0.33
0.33	1.00	0.55	0.11
0.55	0.55	0.55	0.11
0.33	0.11	0.11	0.33

0.33	0.55	1.00	0.77
0.55	0.55	1.00	0.33
1.00	1.00	0.11	0.55
0.77	0.33	0.55	0.33

### Pooling layer

A stack of images becomes a stack of smaller images.





1.00	0.33	0.55	0.33
0.33	1.00	0.33	0.55
0.55	0.33	1.00	0.11
0.33	0.55	0.11	0.77
0.55	0.33	0.55	0.33
0.33	1.00	0.55	0.11
0.55	0.55	0.55	0.11
0.33	0.11	0.11	0.33
0.33	0.55	1.00	0.77
0.55	0.55	1.00	0.33
1.00	1.00	0.11	0.55
0.77	0.33	0.55	0.33

#### Normalization

Keep the math from breaking by tweaking each of the values just a bit. Change everything negative to zero.

0.77	-0.11	0.11	0.33	0.55	-0.11	0.33
-0.11	1.00	-0.11	0.33	-0.11	0.11	-0.11
0.11	-0.11	1.00	-0.33	0.11	-0.11	0.55
0.33	0.33	-0.33	0.55	-0.33	0.33	0.33
0.55	-0.11	0.11	-0.33	1.00	-0.11	0.11
-0.11	0.11	-0.11	0.33	-0.11	1.00	-0.11
0.33	-0.11	0.55	0.33	0.11	-0.11	0.77

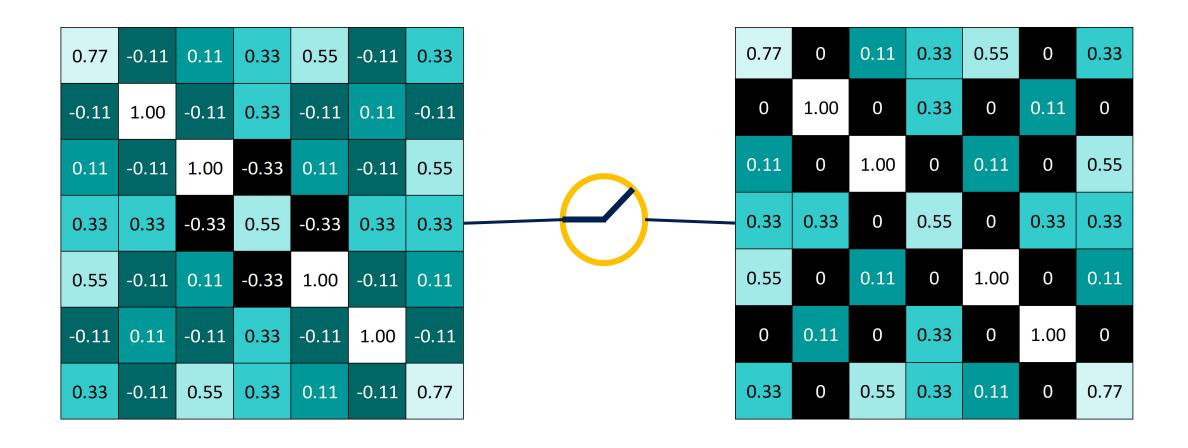
0.77			

0.77	-0.11	0.11	0.33	0.55	-0.11	0.33
-0.11	1.00	-0.11	0.33	-0.11	0.11	-0.11
0.11	-0.11	1.00	-0.33	0.11	-0.11	0.55
0.33	0.33	-0.33	0.55	-0.33	0.33	0.33
0.55	-0.11	0.11	-0.33	1.00	-0.11	0.11
-0.11	0.11	-0.11	0.33	-0.11	1.00	-0.11
0.33	-0.11	0.55	0.33	0.11	-0.11	0.77

0.77	0			

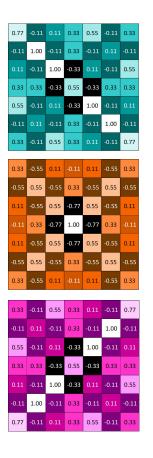
0.77	-0.11	0.11	0.33	0.55	-0.11	0.33
-0.11	1.00	-0.11	0.33	-0.11	0.11	-0.11
0.11	-0.11	1.00	-0.33	0.11	-0.11	0.55
0.33	0.33	-0.33	0.55	-0.33	0.33	0.33
0.55	-0.11	0.11	-0.33	1.00	-0.11	0.11
-0.11	0.11	-0.11	0.33	-0.11	1.00	-0.11
0.33	-0.11	0.55	0.33	0.11	-0.11	0.77

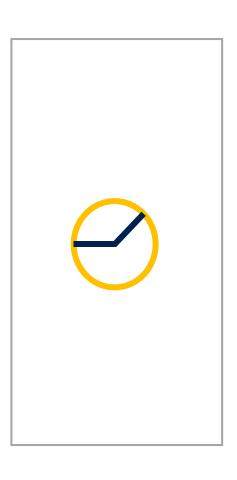
0.77	0	0.11	0.33	0.55	0	0.33



#### ReLU layer

A stack of images becomes a stack of images with no negative values.

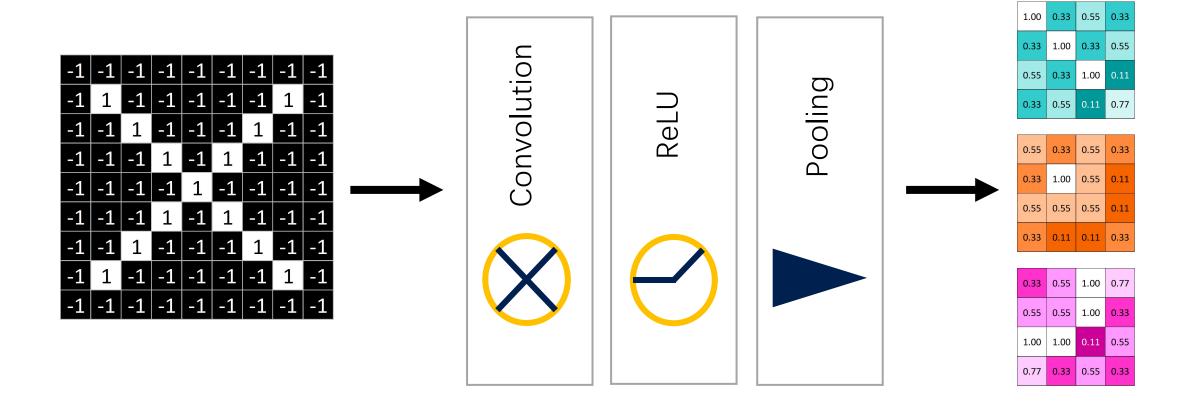




0.77	0	0.11	0.33	0.55	0	0.33
	1.00		0.33		0.11	
0.11	0	1.00	0	0.11	0	0.55
0.33	0.33	0	0.55	0	0.33	0.33
0.55	0	0.11	0	1.00	0	0.11
0	0.11		0.33	0	1.00	
0.33		0.55	0.33	0.11	0	0.77
0.33		0.11		0.11		0.33
	0.55		0.33	0	0.55	0
0.11		0.55		0.55		0.11
	0.33		1.00		0.33	
0.11		0.55		0.55		0.11
	0.55		0.33	0	0.55	0
0.33		0.11		0.11		0.33
0.33	0	0.55	0.33	0.11	0	0.77
0	0.11		0.33	0	1.00	
0.55		0.11		1.00		0.11
0.33	0.33	0	0.55		0.33	0.33
0.11	0	1.00		0.11		0.55
	1.00		0.33		0.11	
0.77	0	0.11	0.33	0.55	0	0.33

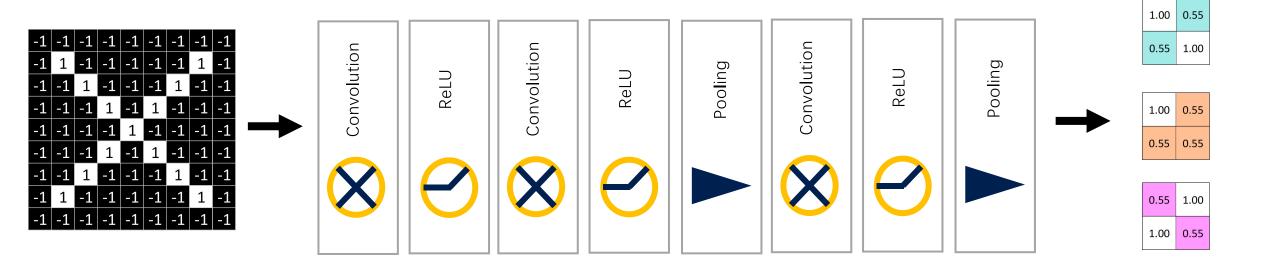
#### Layers get stacked

The output of one becomes the input of the next.

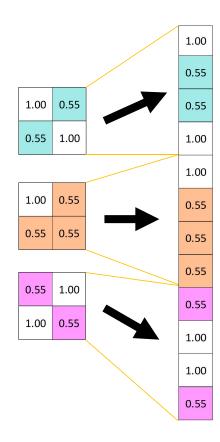


### Deep stacking

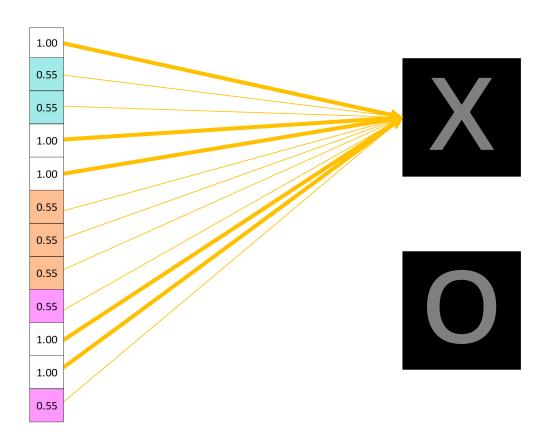
Layers can be repeated several (or many) times.



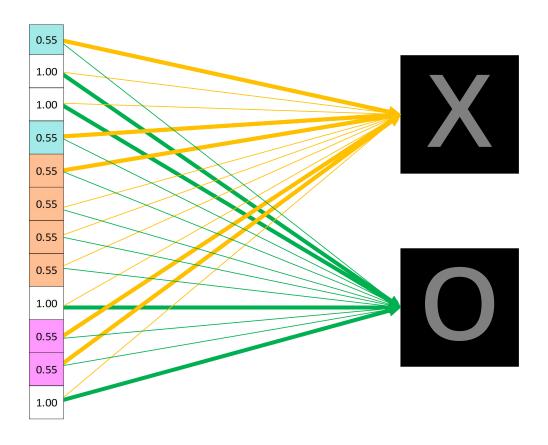
Every value gets a vote

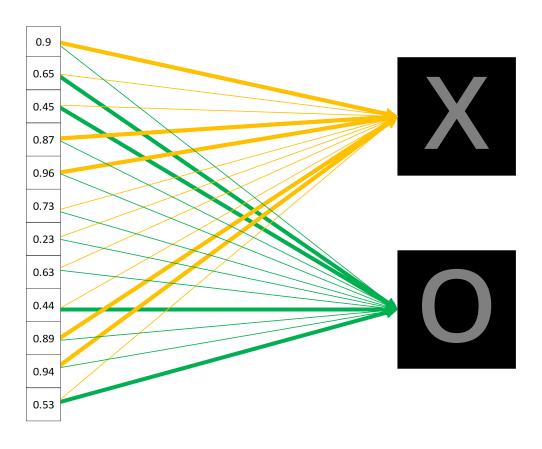


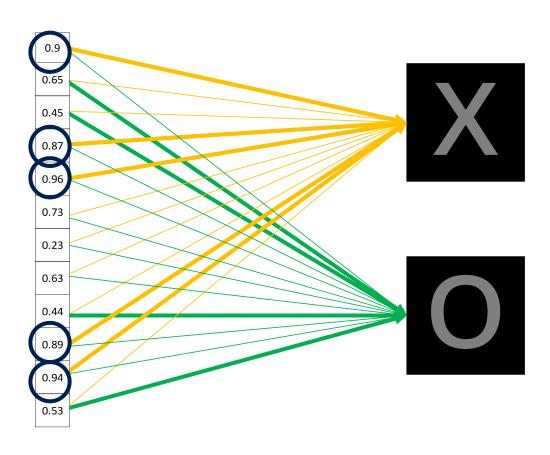
Vote depends on how strongly a value predicts X or O

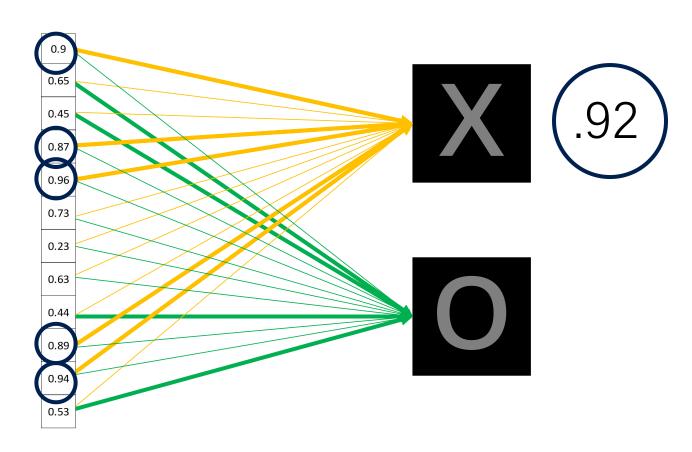


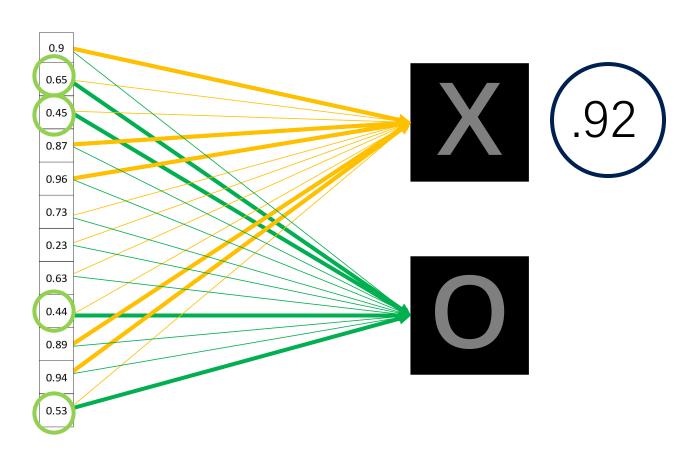
Vote depends on how strongly a value predicts X or O

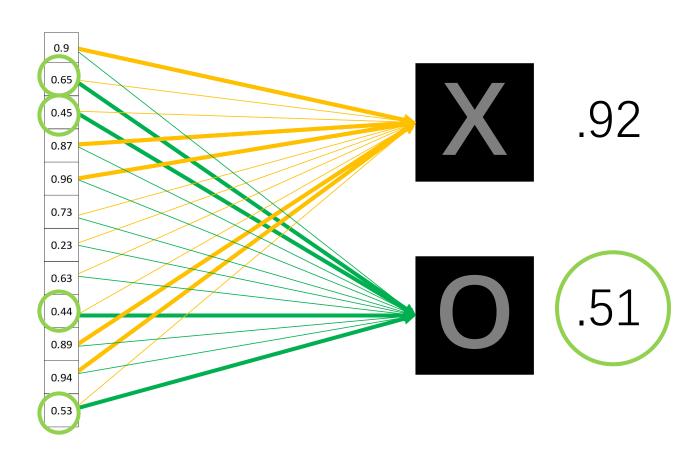


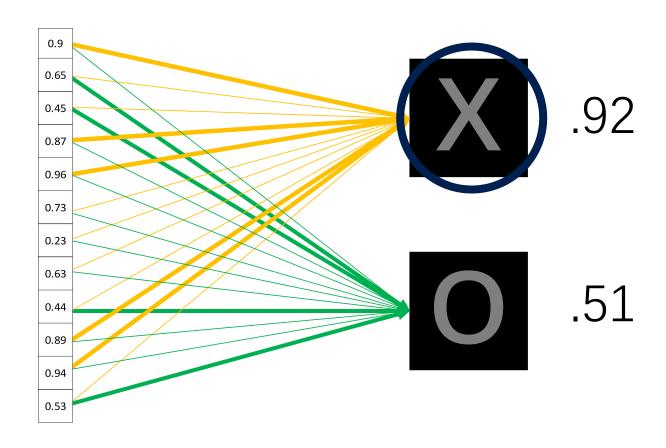




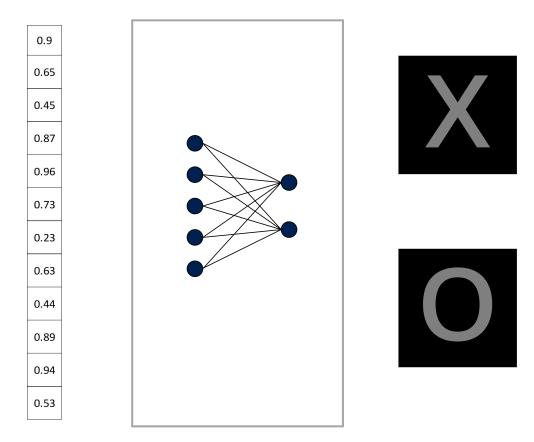




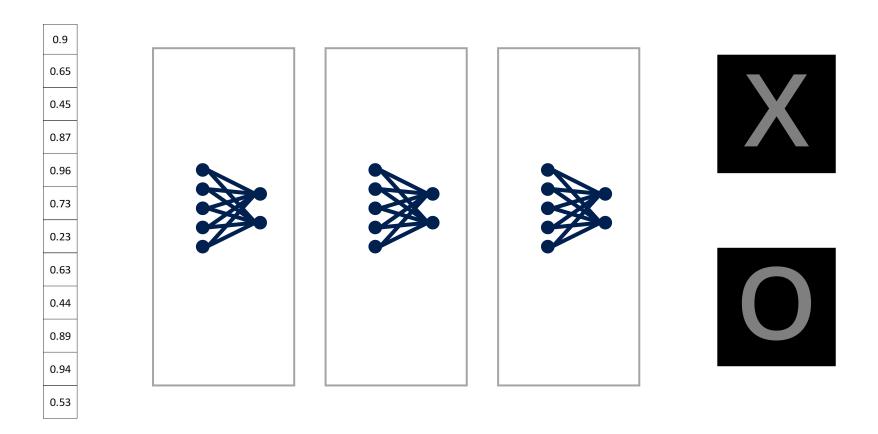




A list of feature values becomes a list of votes.

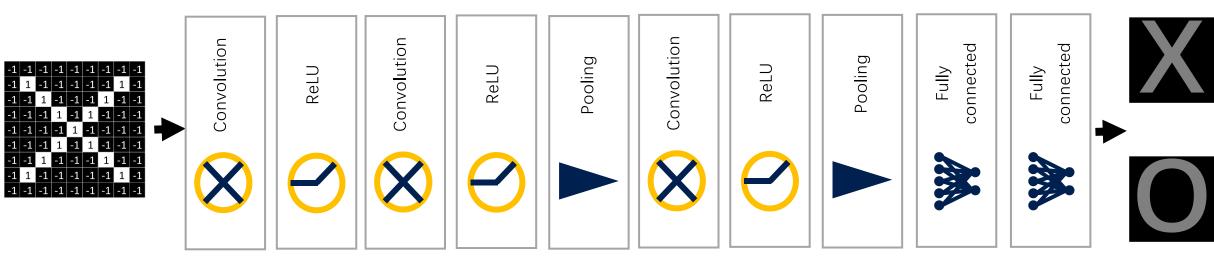


These can also be stacked.



## Putting it all together

A set of pixels becomes a set of votes.



.51

## Learning

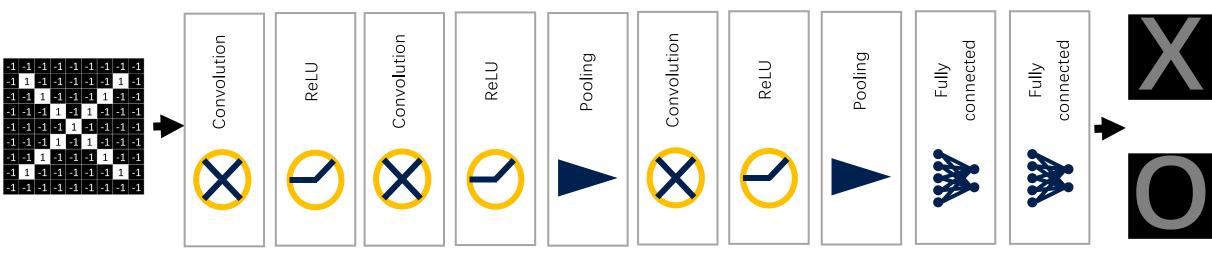
Q: Where do all the magic numbers come from?

Features in convolutional layers

Voting weights in fully connected layers

A: Backpropagation

Error = right answer – actual answer



.51

	Right answer	Actual answer	Error
X	1		
0			

.51

	Right answer	Actual answer	Error
X	1	0.92	
0			

| Selu |

.51

	Right answer	Actual answer	Error
X	1	0.92	0.08
0			

| Selu |

.51

	Right answer	Actual answer	Error
X	1	0.92	0.08
0	0	0.51	0.49

.51

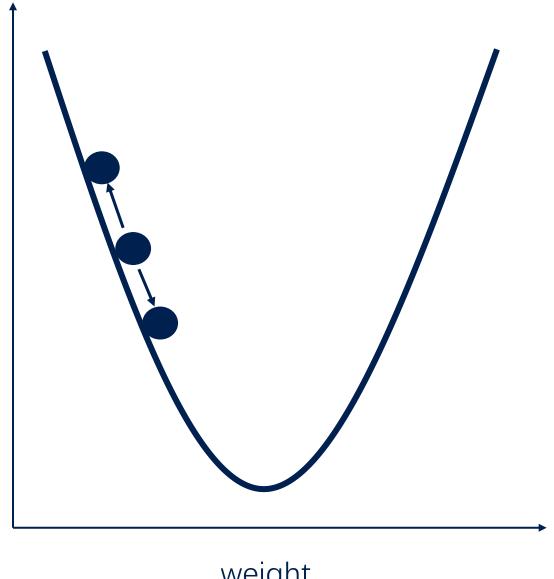
	Right answer	Actual answer	Error
X	1	0.92	0.08
0	0	0.51	0.49
		Total	0.57

.51

### Gradient descent

For each feature pixel and voting weight, adjust it up and down a bit and see how the error changes.





weight

## Hyperparameters (knobs)

#### Convolution

Number of features

Size of features

#### Pooling

Window size

Window stride

#### Fully Connected

Number of neurons

### Architecture

How many of each type of layer? In what order?

### Classical CNNs

- LeNet-5
- AlexNet
- VGGNet
- GoogLeNet
- ResNet

### LeNet-5

- Gradient Based Learning Applied To Document Recognition - Y. Lecun, L. Bottou, Y. Bengio, P. Haffner; 1998
- Helped establish how we use CNNs today
- Replaced manual feature extraction

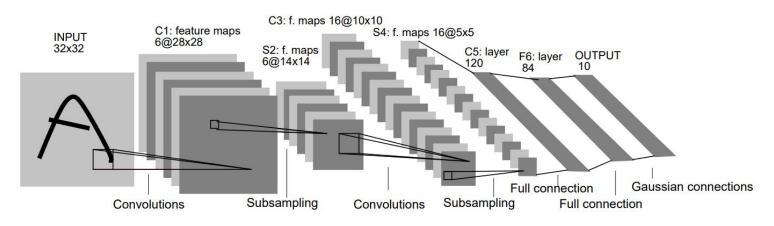
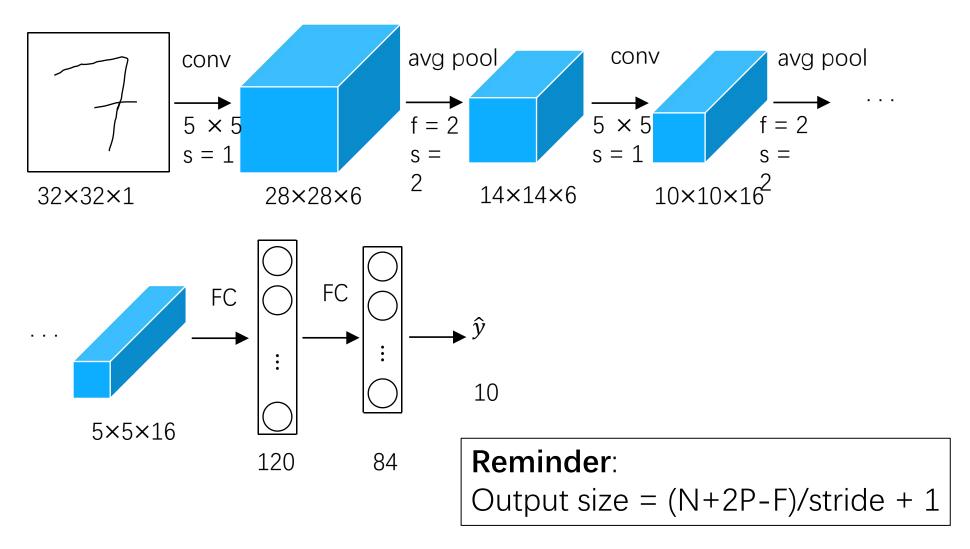
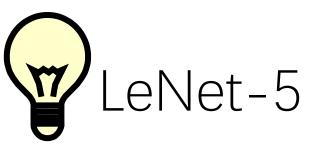


Fig. 2. Architecture of LeNet-5, a Convolutional Neural Network, here for digits recognition. Each plane is a feature map, i.e. a set of units whose weights are constrained to be identical.

### LeNet-5



[LeCun et al., 1998]



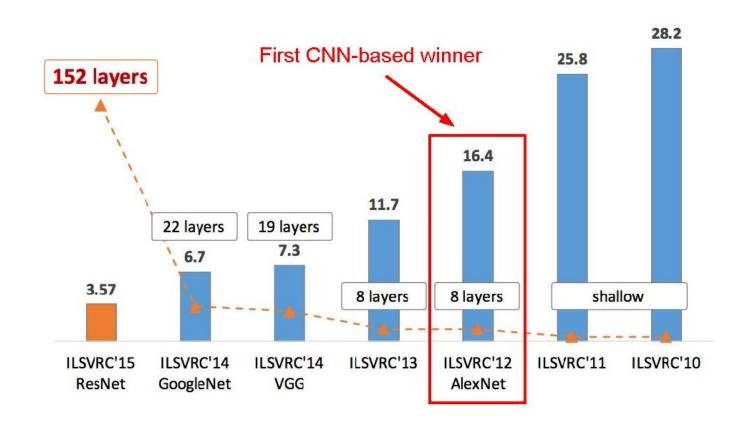
- Only 60K parameters
- As we go deeper in the network:  $N_H \downarrow$  ,  $N_W \downarrow$  ,  $N_C \uparrow$
- General structure: conv->pool->conv->pool->FC->FC->output
- Different filters look at different channels
- Sigmoid and Tanh nonlinearity

- ImageNet Classification with Deep Convolutional Neural Networks - Alex Krizhevsky, Ilya Sutskever, Geoffrey E. Hinton; 2012
- Facilitated by GPUs, highly optimized convolution implementation and large datasets (ImageNet)
- One of the largest CNNs to date
- Has 60 Million parameter compared to 60k parameter of LeNet-5

# ImageNet Large Scale Visual Recognition Challenge (ILSVRC) winners

- The annual "Olympics" of computer vision.
- Teams from across the world compete to see who has the best computer vision model for tasks such as classification, localization, detection, and more.
- 2012 marked the first year where a CNN was used to achieve a top 5 test error rate of 15.3%.
- The next best entry achieved an error of 26.2%.

# ImageNet Large Scale Visual Recognition Challenge (ILSVRC) winners



#### **Architecture**

CONV1

MAX POOL1

NORM1

CONV2

MAX POOL2

NORM2

CONV3

CONV4

CONV5

Max POOL3

FC6

FC7

FC8

- Input: 227x227x3 images (224x224 before padding)
- First layer: 96 11x11 filters applied at stride 4
- Output volume size?

$$(N-F)/s+1 = (227-11)/4+1 = 55 -> [55x55x96]$$

Number of parameters in this layer?

$$(11*11*3)*96 = 35K$$

#### **Architecture**

CONV1

MAX POOL1

NORM1

CONV2

MAX POOL2

NORM2

CONV3

CONV4

CONV5

Max POOL3

FC6

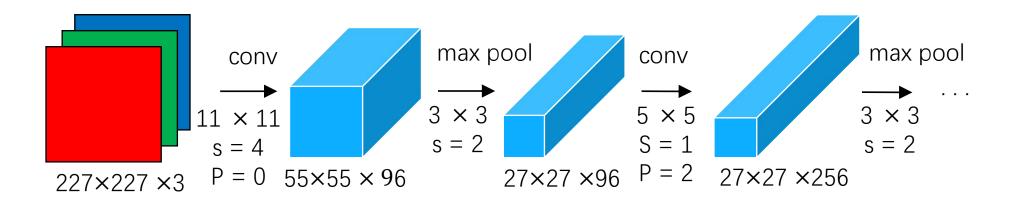
FC7

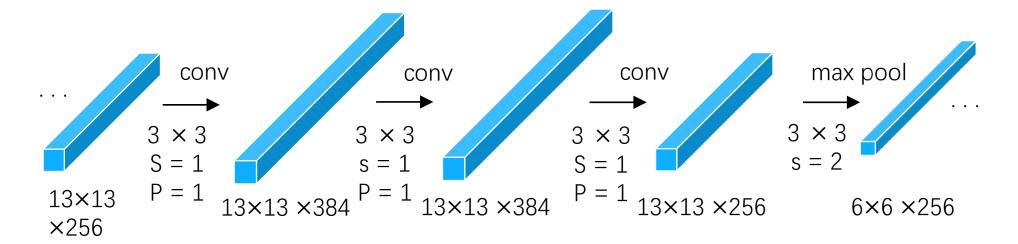
FC8

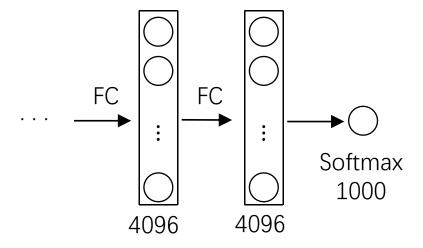
- Input: 227x227x3 images (224x224 before padding)
- After CONV1: 55x55x96
- Second layer: 3x3 filters applied at stride 2
- Output volume size?

$$(N-F)/s+1 = (55-3)/2+1 = 27 -> [27x27x96]$$

Number of parameters in this layer?0!







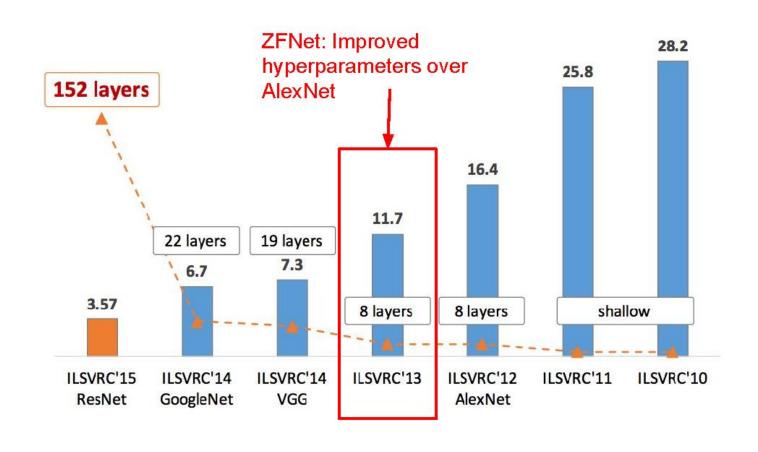
#### **Details/Retrospectives:**

- first use of ReLU
- heavy data augmentation
- dropout 0.5
- batch size 128

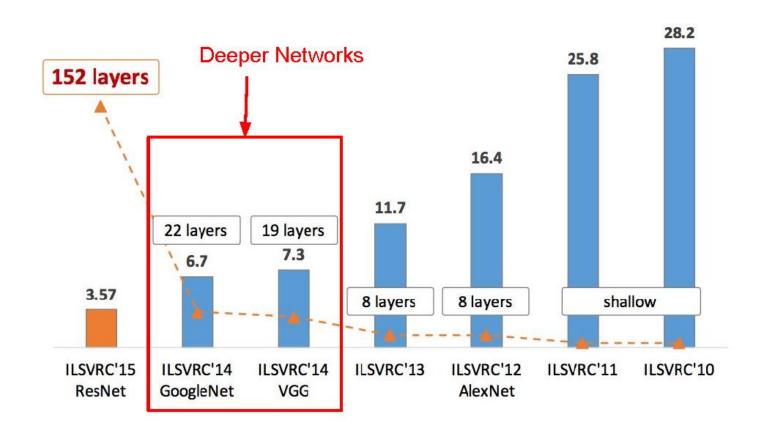


AlexNet was the coming out party for CNNs in the computer vision community. This was the first time a model performed so well on a historically difficult lmageNet dataset. This paper illustrated the benefits of CNNs and backed them up with record breaking performance in the competition.

# ImageNet Large Scale Visual Recognition Challenge (ILSVRC) winners



# ImageNet Large Scale Visual Recognition Challenge (ILSVRC) winners



- Very Deep Convolutional Networks For Large Scale Image Recognition - Karen Simonyan and Andrew Zisserman; 2015
- The runner-up at the ILSVRC 2014 competition
- Significantly deeper than AlexNet
- 140 million parameters

```
Input
3x3 conv, 64
3x3 conv. 64
Pool 1/2
3x3 conv, 128
3x3 conv, 128
Pool 1/2
3x3 conv, 256
3x3 conv, 256
Pool 1/2
3x3 conv, 512
3x3 conv, 512
3x3 conv. 512
Pool 1/2
3x3 conv, 512
3x3 conv, 512
3x3 conv. 512
Pool 1/2
FC 4096
FC 4096
FC 1000
Softmax
```

#### Smaller filters

Only 3x3 CONV filters, stride 1, pad 1 and 2x2 MAX POOL, stride 2

#### Deeper network

AlexNet: 8 layers

VGGNet: 16 - 19 layers

- ZFNet: 11.7% top 5 error in ILSVRC'13
- VGGNet: 7.3% top 5 error in ILSVRC'14

[Simonyan and Zisserman, 2014]

Why use smaller filters? (3x3 conv)

Stack of three 3x3 conv (stride 1) layers has the same effective receptive field as one 7x7 conv layer.

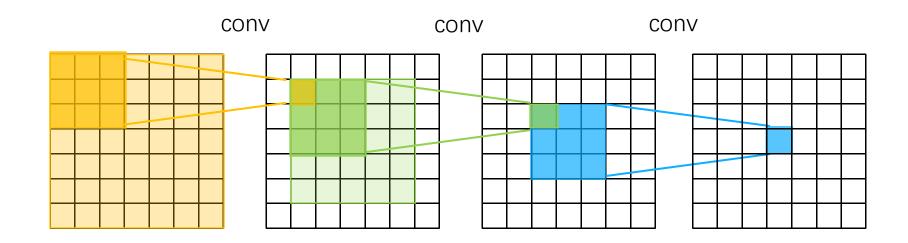
 What is the effective receptive field of three 3x3 conv (stride 1) layers?

7x7

But deeper, more non-linearities

And fewer parameters:  $3 * (3^2C^2)$  vs.  $7^2C^2$  for C channels per layer

# Reminder: Receptive Field



```
params: 0
Input
                 memory: 224*224*3=150K
3x3 conv, 64
                 memory: 224*224*64=3.2M
                                             params: (3*3*3)*64 = 1,728
                 memory: 224*224*64=3.2M
                                             params: (3*3*64)*64 = 36,864
3x3 conv, 64
                 memory: 112*112*64=800K
                                             params: 0
Pool
                 memory: 112*112*128=1.6M params: (3*3*64)*128 = 73,728
3x3 conv. 128
3x3 conv. 128
                 memory: 112*112*128=1.6M params: (3*3*128)*128 = 147,456
Pool
                 memory: 56*56*128=400K
                                             params: 0
                                             params: (3*3*128)*256 = 294,912
3x3 conv, 256
                 memory: 56*56*256=800K
3x3 conv. 256
                 memory: 56*56*256=800K
                                             params: (3*3*256)*256 = 589,824
3x3 conv. 256
                 memory: 56*56*256=800K
                                             params: (3*3*256)*256 = 589,824
                 memory: 28*28*256=200K
                                             params: 0
Pool
                 memory: 28*28*512=400K
                                             params: (3*3*256)*512 = 1,179,648
3x3 conv. 512
3x3 conv, 512
                 memory: 28*28*512=400K
                                             params: (3*3*512)*512 = 2,359,296
3x3 conv, 512
                 memory: 28*28*512=400K
                                             params: (3*3*512)*512 = 2,359,296
                 memory: 14*14*512=100K
Pool
                                             params: 0
3x3 conv, 512
                 memory: 14*14*512=100K
                                             params: (3*3*512)*512 = 2,359,296
                                             params: (3*3*512)*512 = 2,359,296
3x3 conv, 512
                 memory: 14*14*512=100K
                                             params: (3*3*512)*512 = 2,359,296
3x3 conv, 512
                 memory: 14*14*512=100K
Pool
                 memory: 7*7*512=25K
                                             params: 0
FC 4096
                 memory: 4096
                                             params: 7*7*512*4096 = 102,760,448
FC 4096
                                             params: 4096*4096 = 16,777,216
                 memory: 4096
                                             params: 4096*1000 = 4,096,000
FC 1000
                 memory: 1000
```

```
Input
3x3 conv. 64
3x3 conv, 64
Pool
3x3 conv, 128
3x3 conv, 128
Pool
3x3 conv, 256
3x3 conv, 256
3x3 conv, 256
Pool
3x3 conv, 512
3x3 conv, 512
3x3 conv, 512
Pool
3x3 conv, 512
3x3 conv, 512
3x3 conv, 512
Pool
FC 4096
FC 4096
FC 1000
Softmax
```

#### **VGG16**:

TOTAL memory: 24M \* 4 bytes ~= 96MB / image

TOTAL params: 138M parameters

#### Details/Retrospectives:

- ILSVRC'14 2nd in classification, 1st in localization
- Similar training procedure as AlexNet
- Use VGG16 or VGG19 (VGG19 only slightly better, more memory)
- FC7 features generalize well to other tasks

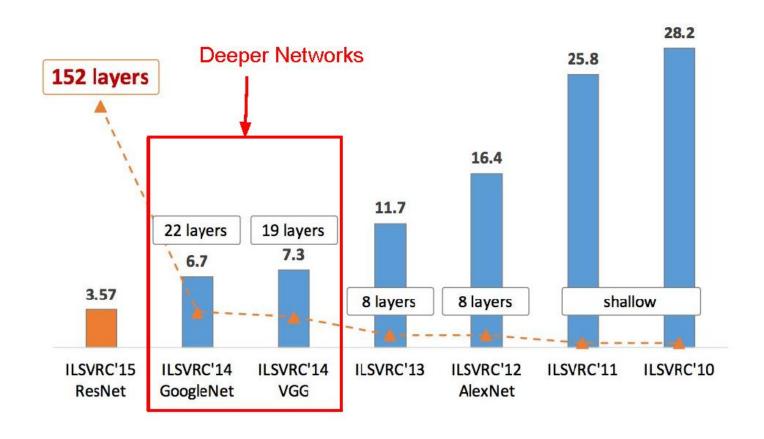


VGG Net reinforced the notion that convolutional neural networks have to have a deep network of layers in order for this hierarchical representation of visual data to work.

Keep it deep.

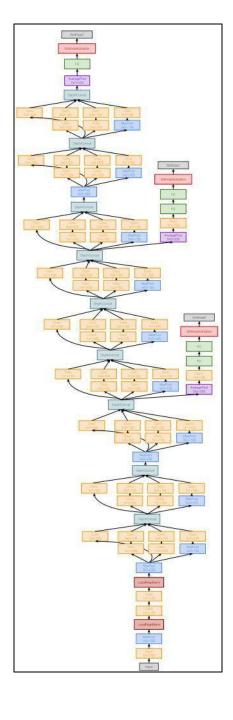
Keep it simple.

# ImageNet Large Scale Visual Recognition Challenge (ILSVRC) winners



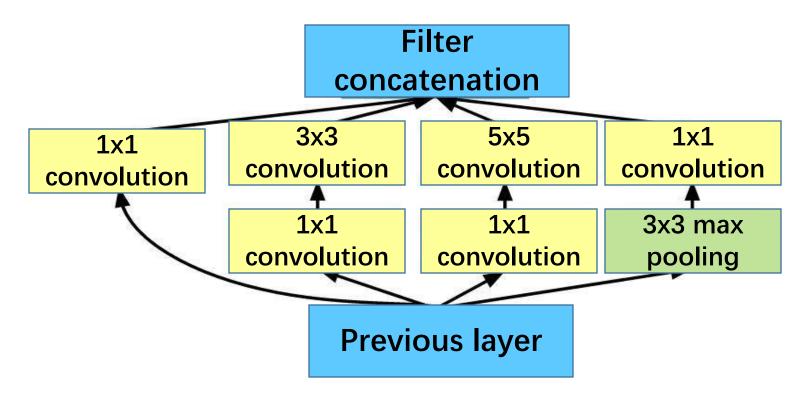
## GoogleNet

- Going Deeper with Convolutions Christian Szegedy et al.; 2015
- ILSVRC 2014 competition winner
- Also significantly deeper than AlexNet
- x12 less parameters than AlexNet
- Focused on computational efficiency



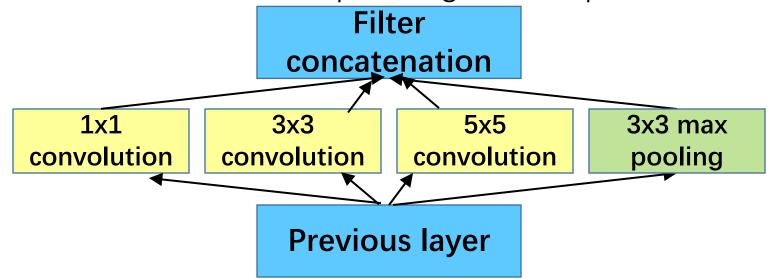
- 22 layers
- Efficient "Inception" module strayed from the general approach of simply stacking conv and pooling layers on top of each other in a sequential structure
- No FC layers
- Only 5 million parameters!
- ILSVRC'14 classification winner (6.7% top 5 error)

"Inception module": design a good local network topology (network within a network) and then stack these modules on top of each other

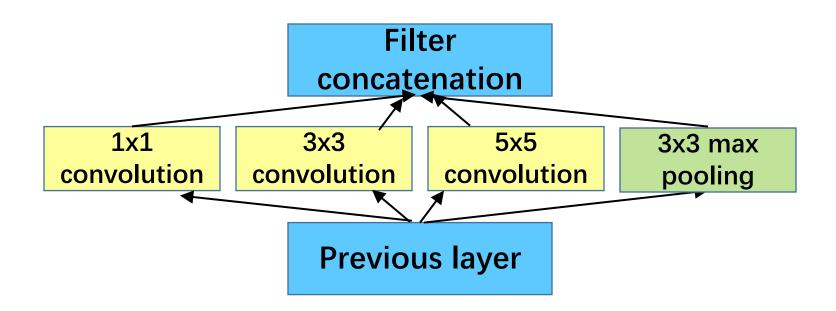


#### Naïve Inception Model

- Apply parallel filter operations on the input :
  - Multiple receptive field sizes for convolution (1x1, 3x3, 5x5)
  - Pooling operation (3x3)
- Concatenate all filter outputs together depth-wise



What's the problem with this?
 High computational complexity



Output volume sizes:

1x1 conv, 128: 28x28x128 **Example:** 3x3 conv, 192: 28x28x192 5x5 conv, 96: 28x28x96 Filter concatenation 3x3 pool: 28x28x256 1x1 conv 3x3 max 3x3 conv 5x5 conv 96 pooling 128 192 **Previous layer** 28x28x256 What is output size after filter concatenation?

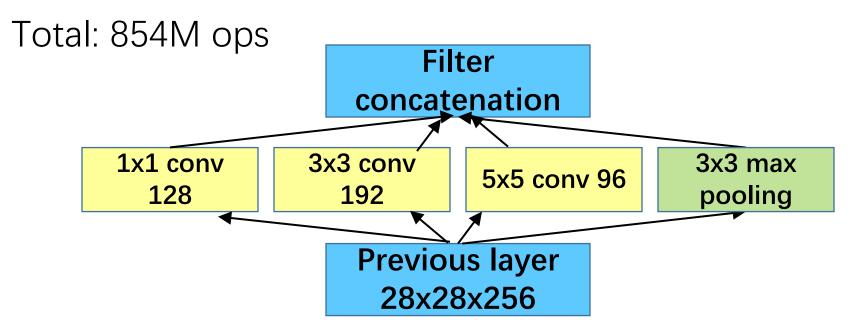
28x28x(128+192+96+256) = 28x28x672

#### Number of convolution operations:

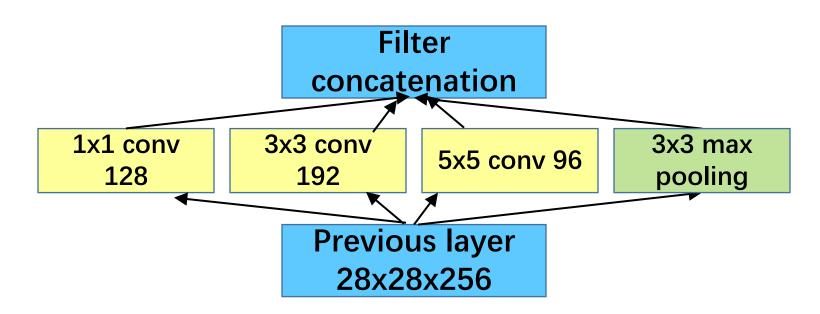
1x1 conv, 128: 28x28x128x1x1x256

3x3 conv, 192: 28x28x192x3x3x256

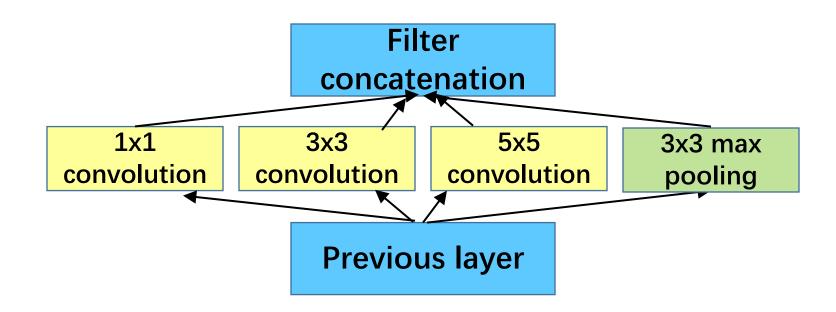
5x5 conv, 96: 28x28x96x5x5x256



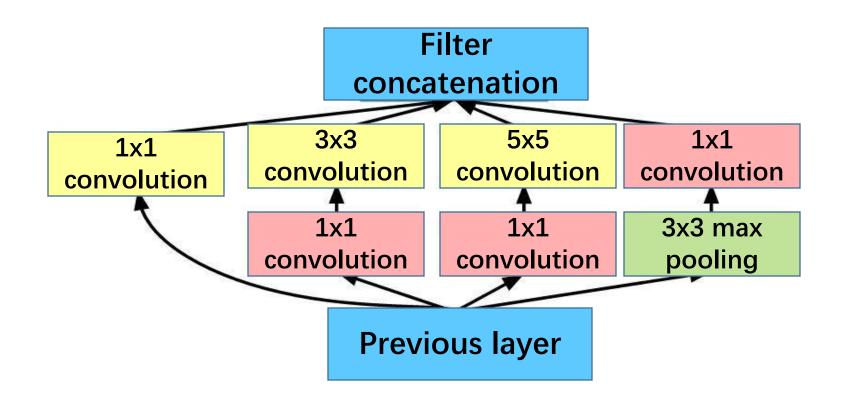
- Very expensive compute!
- Pooling layer also preserves feature depth, which means total depth after concatenation can only grow at every layer.



• **Solution:** "bottleneck" layers that use 1x1 convolutions to reduce feature depth (from previous hour).

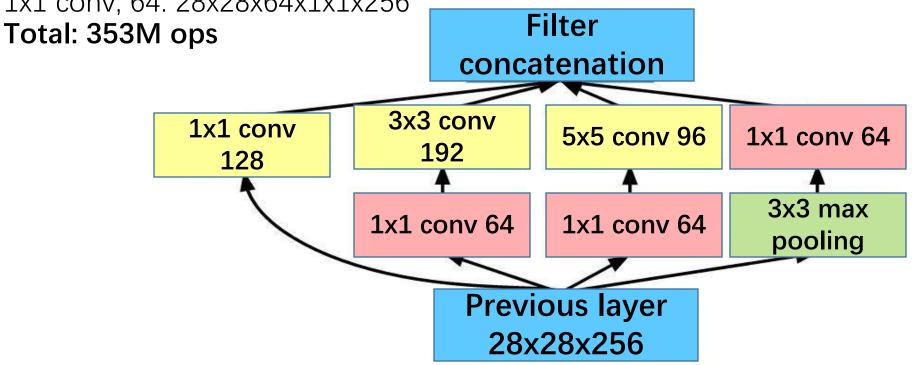


• **Solution:** "bottleneck" layers that use 1x1 convolutions to reduce feature depth (from previous hour).

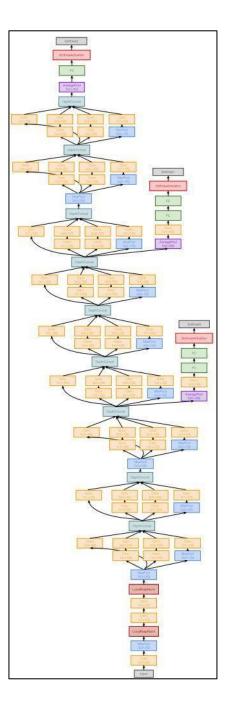


#### • Number of convolution operations:

1x1 conv, 64: 28x28x64x1x1x256 1x1 conv, 64: 28x28x64x1x1x256 1x1 conv, 128: 28x28x128x1x1x256 3x3 conv, 192: 28x28x192x3x3x64 5x5 conv, 96: 28x28x96x5x5x264 1x1 conv, 64: 28x28x64x1x1x256



• Compared to 854M ops for naive version



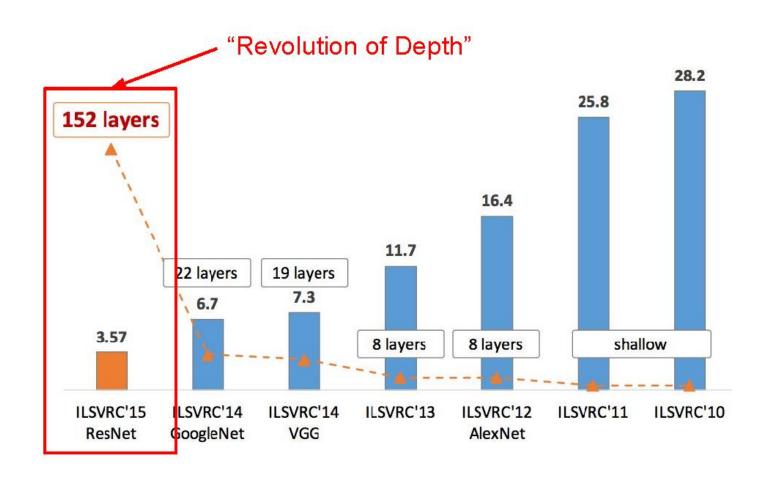
#### **Details/Retrospectives**:

- Deeper networks, with computational efficiency
- 22 layers
- Efficient "Inception" module
- No FC layers
- 12x less params than AlexNet
- ILSVRC'14 classification winner (6.7% top 5 error)

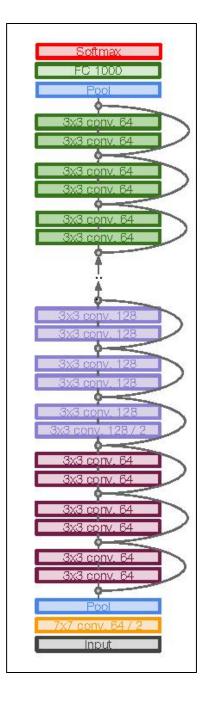


Introduced the idea that CNN layers didn't always have to be stacked up sequentially. Coming up with the Inception module, the authors showed that a creative structuring of layers can lead to improved performance and computationally efficiency.

# ImageNet Large Scale Visual Recognition Challenge (ILSVRC) winners



- Deep Residual Learning for Image Recognition -Kaiming He, Xiangyu Zhang, Shaoqing Ren, Jian Sun; 2015
- Extremely deep network 152 layers
- Deeper neural networks are more difficult to train.
- Deep networks suffer from vanishing and exploding gradients.
- Present a residual learning framework to ease the training of networks that are substantially deeper than those used previously.



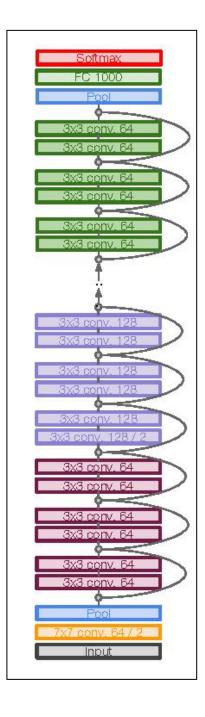
 ILSVRC'15 classification winner (3.57% top 5 error, humans generally hover around a 5-10% error rate)
 Swept all classification and detection competitions in ILSVRC'15 and COCO'15!

 What happens when we continue stacking deeper layers on a convolutional neural network?



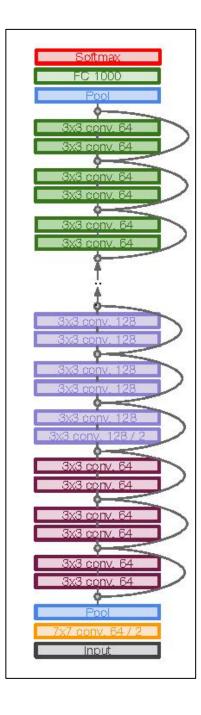
- 56-layer model performs worse on both training and test error
- -> The deeper model performs worse (not caused by overfitting)!

- **Hypothesis**: The problem is an optimization problem. Very deep networks are harder to optimize.
- Solution: Use network layers to fit residual mapping instead of directly trying to fit a desired underlying mapping.
- We will use skip connections allowing us to take the activation from one layer and feed it into another layer, much deeper into the network.
- Use layers to fit residual F(x) = H(x) x instead of H(x) directly



#### **Full ResNet architecture:**

- Stack residual blocks
- Every residual block has two 3x3 conv layers
- Periodically, double # of filters and downsample spatially using stride 2 (in each dimension)
- Additional conv layer at the beginning
- No FC layers at the end (only FC 1000 to output classes)



- Total depths of 34, 50, 101, or 152 layers for ImageNet
- For deeper networks (ResNet-50+), use "bottleneck" layer to improve efficiency (similar to GoogLeNet)

#### **Experimental Results:**

- Able to train very deep networks without degrading
- Deeper networks now achieve lower training errors as expected



ResNet is among the **best** CNN architecture that we currently have and is a great innovation for the idea of residual learning. Even better than human performance!

## Code Examples

%% 创建简单的CNN网络以用于图像分类 openExample('nnet/TrainABasicConvolutionalNeuralNetworkForClassificationExample')

%%使用GoogLeNet对网络摄像头图像进行分类openExample('nnet/ClassifyImagesFromWebcamUsingDeepLearningExample')

%% 使用预训练GoogLeNet对新图像进行分类(迁移学习)openExample('nnet/TransferLearningUsingGoogLeNetExample')